



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : C08F 8/00, 8/14, B65D 65/38, C08K 5/09</p>	<p>A3</p>	<p>(11) International Publication Number: WO 95/02616</p> <p>(43) International Publication Date: 26 January 1995 (26.01.95)</p>
<p>(21) International Application Number: PCT/US94/07854</p> <p>(22) International Filing Date: 13 July 1994 (13.07.94)</p> <p>(30) Priority Data: 08/091,120 13 July 1993 (13.07.93) US</p> <p>(71) Applicant: CHEVRON RESEARCH AND TECHNOLOGY COMPANY, a division of CHEVRON U.S.A. INC. [US/US]; P.O. Box 7141, San Francisco, CA 94120-7141 (US).</p> <p>(72) Inventors: CHING, Ta, Yen; 10 Santa Yorma Court, Novato, CA 94945 (US). KATSUMOTO, Kiyoshi; 2615 Brooks Avenue, El Cerrito, CA 94530 (US). CURRENT, Steven, P.; 1207 Ridgeview Heights, Novato, CA 94947 (US). THEARD, Leslie, P.; 10050 Westpark, No. 1003, Houston, TX 77042 (US).</p> <p>(74) Agents: HOLLAND, Charles, D. et al.; Chevron Corporation, Law Dept., P.O. Box 7141, San Francisco, CA 94120-7141 (US).</p>	<p>(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, HU, JP, KE, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p> <p>(88) Date of publication of the international search report: 16 March 1995 (16.03.95)</p>	
<p>(54) Title: ETHYLENIC OXYGEN SCAVENGING COMPOSITIONS AND PROCESS FOR MAKING SAME BY ESTERIFICATION OR TRANSESTERIFICATION IN A REACTIVE EXTRUDER</p>		
<p>(57) Abstract</p> <p>Disclosed is a process for transesterifying a polymer having a polyethylenic backbone and pendant ester moieties comprising contacting a melt of the polymer in a reactive extruder with a transesterifying compound so that the polymer undergoes transesterification but not alcoholysis. The transesterified polymer also has pendant ester moieties which differ in kind and/or number from the unreacted polymer. In one embodiment, the process also comprises adding an amount of a transition metal salt that is effective to promote oxygen scavenging. Also in a further embodiment, the process comprises irradiating the transesterified polymer with actinic radiation to reduce the induction period before oxygen scavenging commences. Also disclosed are compositions comprising a component which comprises an ethylenic backbone and a pendant or terminal moiety comprising a benzyl radical are disclosed. The invention also embodies new polyethylenic oxygen scavenging compositions comprising a transition-metal salt and a component which comprises a polyethylenic backbone and a pendant or terminal moiety comprising a benzyl radical. Methods of making the compositions, and methods and compositions using the ethylenic compositions, are disclosed.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TC	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

-1-

**ETHYLENIC OXYGEN SCAVENGING COMPOSITIONS AND PROCESS FOR  
MAKING SAME BY ESTERIFICATION OR TRANSESTERIFICATION IN A  
REACTIVE EXTRUDER**

**FIELD OF THE INVENTION**

This invention provides ethylenic compositions and articles. Also included are compositions and methods for scavenging oxygen from environments containing oxygen, particularly food and beverage-containing products. In addition, a process is disclosed for transesterifying a polymer having a polyethylenic backbone and pendant ester moieties in a reactive extruder to obtain a polymer having a polyethylenic backbone and pendant ester moieties which differ in kind and/or in number from the unreacted polymer.

**BACKGROUND**

It is well known that regulating the exposure of oxygen-sensitive products to oxygen maintains and enhances the quality and "shelf-life" of the product. For instance, by limiting the oxygen exposure of oxygen sensitive food products in a packaging system, the quality of the food product is maintained, and food spoilage is avoided. In addition, such packaging also keeps the product in inventory longer, thereby reducing costs incurred from waste and having to restock inventory. In the food packaging industry, several means for regulating oxygen exposure have already been developed. These means include modified atmosphere packaging (MAP) and oxygen barrier film packaging.

One method currently being used is through "active packaging", whereby the package for the food product is modified in some manner to regulate the food product's exposure to oxygen. The inclusion of oxygen scavengers within the cavity of the package is one form of active packaging. Typically, such oxygen scavengers are in the form of sachets which contain a composition which scavenges the oxygen through

-2-

1      oxidation reactions. One sachet contains iron-based compositions which oxidize to  
2      their ferric states. Another type of sachet contains unsaturated fatty acid salts on a  
3      particulate adsorbent. See U.S. Patent No. 4,908,151. Yet another sachet contains  
4      metal/polyamide complex. See U.S. Patent No. 5,194,478.

5      However, one disadvantage of sachets is the need for additional packaging operations  
6      to add the sachet to each package. A further disadvantage arising from the iron-based  
7      sachets is that certain atmospheric conditions (e.g., high humidity, low CO<sub>2</sub> level) in  
8      the package are sometimes required in order for scavenging to occur at an adequate  
9      rate. Further, the sachets can present a danger to consumers if accidentally ingested.

10     Another means for regulating the exposure to oxygen involves incorporating an  
11     oxygen scavenger into the packaging structure itself. A more uniform scavenging  
12     effect throughout the package is achieved by incorporating the scavenging material in  
13     the package itself instead of adding a separate scavenger structure (e.g., a sachet) to  
14     the package. This may be especially important where there is restricted air flow  
15     inside the package. In addition, incorporating the oxygen scavenger into the package  
16     structure provides a means of intercepting and scavenging oxygen as it permeates the  
17     walls of the package (herein referred to as an "active oxygen barrier"), thereby  
18     maintaining the lowest possible oxygen level in the package.

19     One attempt to prepare an oxygen-scavenging wall involves the incorporation of  
20     inorganic powders and/or salts. See U.S. Patent Nos. 5,153,038, 5,116,660,  
21     5,143,769, and 5,089,323. However, incorporation of these powders and/or salts  
22     causes degradation of the wall's transparency and mechanical properties such as tear  
23     strength. In addition, these compounds can lead to processing difficulties, especially  
24     when fabricating thin films. The oxidation products, which can be absorbed by food  
25     in the container, typically would not have FDA approval for human consumption.

-3-

1 EP 0 519 616 discloses an oxygen-scavenging composition comprising a blend of a  
2 first polymeric component comprising a polyolefin, the first polymeric component  
3 having been grafted with an unsaturated carboxylic anhydride or an unsaturated  
4 carboxylic acid, or combinations thereof, or with an epoxide; a second polymeric  
5 component having OH, SH, or  $\text{NHR}^2$  groups where  $\text{R}^2$  is H,  $\text{C}_1\text{-C}_3$  alkyl, substituted  
6  $\text{C}_1\text{-C}_3$  alkyl; and a metal salt capable of catalyzing the reaction between oxygen and  
7 the second polymeric component, the polyolefin being present in an amount sufficient  
8 so that the blend is non phase-separated. A blend of polymers is utilized to obtain  
9 oxygen scavenging, and the second polymeric component is preferably a polyamide or  
10 a copolyamide such as the copolymer of m-xylylene-diamine and adipic acid (MXD6).

11 The oxygen scavenging systems disclosed in U.S. Patent Nos. 5,021,515, 5,194,478,  
12 and 5,159,005, European Publication EP 0 380 319 as well as PCT Publication Nos.  
13 90/00504 and 90/00578 illustrate attempts to produce an oxygen-scavenging wall.  
14 These patent applications disclose incorporating a metal catalyst-polyamide oxygen  
15 scavenging system into the package wall. Through the catalyzed oxidation of the  
16 polyamide, the package wall regulates the amount of oxygen which reaches the  
17 interior volume of the package (active oxygen barrier) and has been reported to have  
18 oxygen scavenging rate capabilities up to about 5 cubic centimeters (cc) oxygen per  
19 square meter per day at ambient conditions. However, this system suffers from  
20 significant disadvantages.

21 One particularly limiting disadvantage of polyamide/catalyst materials can be a low  
22 oxygen scavenging rate. U.S. Patent No. 5,021,515, Example 7, illustrates that  
23 adding these materials to a high-barrier package containing air produces a package  
24 which is not generally suitable for creating an internal oxygen level of less than 0.1 %  
25 (starting with air) within a period of four weeks or less at room temperature, as is  
26 typically required for headspace oxygen scavenging applications.

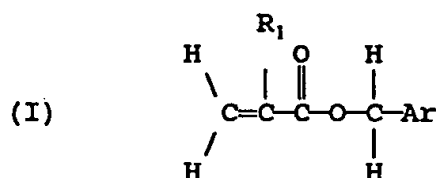
1     There are also disadvantages to having the oxygen-scavenging groups in the backbone  
2     or network structure in this type of polyamide polymer. The basic polymer structure  
3     degrades rapidly and is quickly weakened upon reaction with oxygen. This can  
4     adversely affect physical properties such as tensile or impact strength of the polymer.  
5     The degradation of the backbone or network of the polymer can increase the  
6     permeability of the polymer to those materials sought to be excluded, such as oxygen.

7     Moreover, polyamides such as MXD6 are typically incompatible with thermoplastic  
8     polymers used in flexible packaging walls, such as ethylene-vinyl acetate copolymers  
9     and low density polyethylene. Even further, when polyamides are used by themselves  
10    to make a flexible package wall, they may result in inappropriately stiff structures.  
11    Polyamides also incur processing difficulties and higher costs when compared with the  
12    costs of thermoplastic polymers typically used to make flexible packaging. Even  
13    further, they are sometimes difficult to heat seal. Thus, all of these are factors to  
14    consider when selecting materials for packages, especially flexible packages and when  
15    selecting systems for reducing oxygen exposure of packaged products.

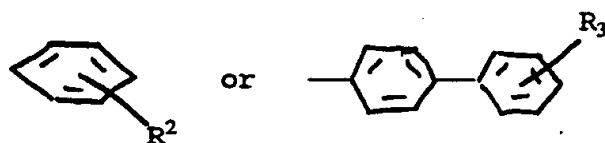
16    Another approach to scavenging oxygen is disclosed in EP 0 507 207, which discloses  
17    an oxygen-scavenging composition comprising an ethylenically unsaturated  
18    hydrocarbon and a transition metal catalyst. This patent states that ethylenically  
19    unsaturated compounds such as squalene, dehydrated castor oil, and 1,2-polybutadiene  
20    are useful oxygen scavenging compositions, and ethylenically saturated compounds  
21    such as polyethylene and ethylene copolymers are used as diluents. Compositions  
22    utilizing squalene, castor oil, or other such unsaturated hydrocarbon typically have an  
23    oily texture, which is undesirable for applications such as wrapping meat for sale in  
24    retail grocery stores. Further, polymer chains which are ethylenically unsaturated  
25    would be expected to either cross-link to become brittle or to degrade upon  
26    scavenging oxygen, weakening the polymer in either case.

-5-

U.S. Patent Nos. 4,717,759, 4,994,539, and 4,736,007, which are incorporated by reference in their entirety, disclose ethylene copolymers which comprise 85.0 to 99.995 mol % of an ethylene unit, 0.005 to 5 mol % of a comonomer unit represented by Formula (I)



wherein Ar is



R<sub>1</sub> is a hydrogen atom or a methyl group, each of R<sub>2</sub> and R<sub>3</sub> is a hydrogen atom, a chlorine atom or a straight-chain or a side-chain alkyl group having 1 to 4 carbon atoms, and 0 to 10 mol % of an ethylenic unsaturated monomer unit, the ethylene copolymer having a density of 0.860 to 0.970 g/cm<sup>3</sup> and a melt index of 0.05 to 100 g/10 minutes. The patent states that copolymers may be produced using either a Ziegler catalyst or through polymerization catalyzed by free radicals. These polymers are limited to having less than 5 mol % of the comonomer unit and are useful for electrical insulation.

Transesterification of polymers has also been discussed in the literature. For example, M. Lambla et al., *27 Polymer Sci. and Eng'g*, No. 16 (mid-Sept. 1987) 1221-28, discuss the transesterification of ethylene vinyl acetate copolymer with an alcohol in a reactive extruder and in the presence of a tin catalyst to form ethylene vinyl alcohol copolymer, which has a polyethylenic backbone and pendant alcohol moieties.

-6-

1 D. Seebach et al., *Synthesis* (Feb. 1982) 138-41, discuss transesterification of an ester  
2 with an alcohol in solution using a titanium catalyst. The reactions require from 3 to  
3 120 hours.

4 U.S. Pat. No. 4,767,820 to M. Keogh discloses compositions useful as extrudates  
5 about wires and cables which comprise hydrolyzable pendant silane moieties and  
6 tetramethyl titanate dispersed in a normally solid alkylene-alkyl acrylate copolymer  
7 matrix. Transalkylation of the silane and alkyl acrylate moieties results in a cross-  
8 linked product.

9 U.S. Pat. No. 5,023,284 to M. Cheung et al. notes that transesterification occurs  
10 during melt-blending of two polyesters due to the presence of residual titanium  
11 catalyst and causes embrittlement and other deleterious effects.

12 What has been missing in the prior art is effective oxygen scavenging compositions  
13 that have high scavenging rates and that are compatible with a wide range of  
14 polymers. Also missing from the prior art is an economical process for controlling  
15 the transesterification of a polymer having a polyethylenic backbone and pendant ester  
16 moieties to produce a polymer having a polyethylenic backbone and pendant ester  
17 moieties that differ in number and/or type from the unreacted polymer. This  
18 invention provides those compositions and that process.

## 19 SUMMARY OF THE INVENTION

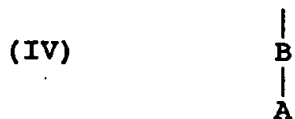
20 In one embodiment, the invention provides a composition comprising a transition-  
21 metal salt and a component having the structure of Formula (II)



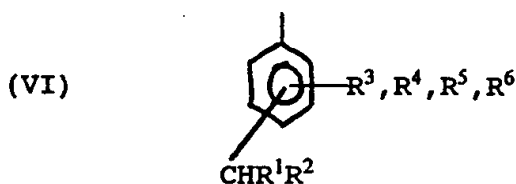
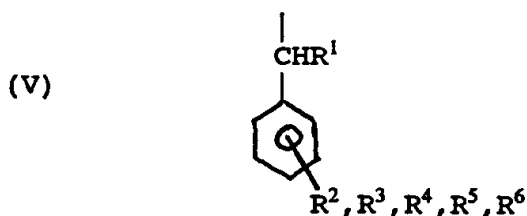


-7-

where n is an integer from 2 to approximately 30,000; any X is individually chosen from the group consisting of hydrogen and methyl radical; and where any Y is individually chosen from the group consisting of hydrogen, alkyl radicals containing from 1 to 18 carbon atoms, alkoxy radicals having from 1 to 16 carbon atoms, amine radicals having from 1 to 6 carbon atoms, ester and amide radicals of acids having from 1 to 16 carbon atoms, aryl radicals or substituted aryl radicals having 6 to 24 carbon atoms, aryl ether radicals or substituted aryl ether radicals having from 6 to 24 carbon atoms, and the radicals of Formula III and Formula IV



where any A is individually a heteroatom-containing radical, and where any B is individually chosen from the group consisting of the radicals of Formula V and Formula VI:



1        where any  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ , and  $R^6$  is individually chosen from the group  
2        consisting of hydrogen, alkyl radicals containing from 1 to 18 carbon atoms, alkoxy  
3        radicals having from 1 to 16 carbon atoms, amine radicals having from 1 to 6 carbon  
4        atoms, ester and amide radicals of acids having from 1 to 16 carbon atoms, aryl  
5        radicals or substituted aryl radicals having 6 to 24 carbon atoms, aryl ether radicals or  
6        substituted aryl ether radicals having from 6 to 24 carbon atoms, and the radicals of  
7        Formula III and Formula IV; with the proviso that when Y is an acetate radical, X is  
8        hydrogen; and with the further proviso that at least 1 mole % of the composition  
9        comprises the radicals of Formula III and Formula IV.

10       In another embodiment, the invention provides a composition comprising a transition-  
11       metal salt and an ethylenic backbone having a pendant or terminal benzyl radical,  
12       wherein the composition produces benzoic acid or a benzoic acid substituted with at  
13       least one radical selected from the group consisting of alkyl radicals containing from 1  
14       to 18 carbon atoms, alkoxy radicals having from 1 to 16 carbon atoms, amine radicals  
15       having from 1 to 6 carbon atoms, ester and amide radicals of acids having from 1 to  
16       16 carbon atoms, aryl radicals or substituted aryl radicals having 6 to 24 carbon  
17       atoms, and aryl ether radicals or substituted aryl ether radicals having from 6 to 24  
18       carbon atoms upon reaction with molecular oxygen.

19       In another embodiment, the invention provides a composition comprising a transition-  
20       metal salt and a polymer, where said polymer comprises 1) a polyethylenic backbone,  
21       and 2) pendant moieties which have at least one radical selected from the group  
22       consisting of a) benzyl ester radicals, b) N-benzyl-amide radicals, c) N-benzylimide  
23       radicals, d) benzyl-thio radicals, e) benzyl ketone radicals, f) benzyl-ether radicals,  
24       g) aryl radicals or substituted aryl radicals having 6 to 30 carbon atoms, h) aryl ether  
25       radicals or substituted aryl ether radicals having from 6 to 30 carbon atoms, and  
26       i) benzyl radicals which have the phenyl radical of said benzyl radical chemically  
27       bonded to at least one member selected from the group consisting of imide radicals

1 which are N-substituted with said benzyl radicals, benzyl-ketone radicals, alkyl  
2 radicals containing from 1 to 18 carbon atoms, alkoxy radicals having from 1 to 16  
3 carbon atoms, amine radicals having from 1 to 6 carbon atoms, ester and amide  
4 radicals of acids, said ester and amide radicals having from 1 to 16 carbon atoms,  
5 aryl radicals or substituted aryl radicals having 6 to 24 carbon atoms and aryl ether  
6 radicals or substituted aryl ether radicals having from 6 to 24 carbon atoms.

7 In one embodiment, the invention provides new compositions comprising a transition-  
8 metal salt and a polymer which comprises a polyethylenic backbone and a pendant  
9 moiety comprising a benzyl radical. In another embodiment, the invention provides  
10 new polymeric compositions useful in making oxygen scavenging compositions.  
11 Methods of making and using the compositions of the present invention are also  
12 disclosed.

13 Among other factors, the present invention is based on our finding that compositions  
14 as described herein are highly effective oxygen scavengers in terms of rate of oxygen  
15 scavenging and/or oxygen scavenging capacity, particularly where the compositions  
16 contain a heteroatom-containing radical directly bonded to a benzyl radical.  
17 Furthermore, in many instances, these compositions have excellent physical and  
18 processing properties which permit their incorporation into a wide range of packaging  
19 applications. Also, we have found that, typically, films of these compositions are  
20 easily made using conventional techniques. Still further, the compositions also are  
21 usually compatible with many common thermoplastic materials used in packaging,  
22 particularly polyethylene and copolymers of ethylene and alkyl acrylates or  
23 methacrylates.

24 Furthermore, many of the compositions of the present invention have been found to  
25 have surprisingly reduced induction periods in scavenging oxygen upon exposure to  
26 ultraviolet (UV) radiation without the need for added photo-initiators.

-10-

1       Also, this invention comprises the process of forming a melt of a polymer having a  
2       polyethylenic backbone and pendant ester moieties, and contacting the melt with a  
3       transesterifying compound in a reactive extruder under transesterification conditions,  
4       where the polymer undergoes transesterification but not alcoholysis, and the polymer  
5       after transesterification has an polyethylenic backbone and pendant ester moieties.  
6       The process may further comprise adding an amount of transition metal salt in an  
7       amount effective to promote oxygen scavenging by the transesterified polymer. The  
8       process may also further comprise exposing the transesterified polymer to actinic  
9       radiation, thereby reducing the induction period of the composition to scavenging  
10      oxygen.

11      In one preferred embodiment, an ethylene alkyl acrylate copolymer is transesterified  
12      in a reactive extruder to form an ethylene hydrocarbyl acrylate copolymer. In another  
13      preferred embodiment, a cobalt salt is added to the transesterified polymer to make an  
14      effective oxygen scavenger. In a third preferred embodiment, the processed polymer  
15      is exposed to actinic radiation.

16      Among other factors, it has been discovered that a reactive extruder can effectively  
17      transesterify a polymer having pendant ester moieties and a transesterifying compound  
18      to produce a polymer having pendant ester moieties which differ in number and/or  
19      type from the unreacted polymer. This transesterification process provides accurate  
20      control over the extent of transesterification and fast reaction times, thereby providing  
21      an economical means to produce polymers having properties tailored to specific  
22      applications. The process also provides a means to make highly-effective oxygen  
23      scavenging compositions.

24      The above-mentioned advantages and others are further described below.

### DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates the effect on oxygen scavenging rate when substituting methyl or methoxy radicals onto the phenyl ring. The ordinate is time in days, and the abscissa is oxygen uptake, measured in ml/g. Line A shows the oxygen uptake rate for Example 19, B shows the rate for Ex. 23, C shows the rate for Ex. 24, and D shows the theoretical oxygen available. The 2 gram samples in 1000 cc headspace were analyzed using a Mocon analyzer.

Figure 2 illustrates the oxygen scavenging capacity for a 5 gram sample of polymer of Example 19 at room temperature. The ordinate is time in days, and the abscissa is oxygen uptake, measured in ml/g. At points A and B, the 1000 ml headspace was refilled with air.

Figure 3 compares the scavenging rate and capacity of 2 grams of polymer of Example 19, line A, with 2 grams of Ageless, line B, a product available from Mitsubishi Gas Chemical Co. The ordinate is time in days, and the abscissa is oxygen uptake, measured in ml/g. The analysis bottle had 1000 ml headspace and 2% oxygen in the headspace.

Figure 4 illustrates the effect of various cobalt levels on the oxygen scavenging rate for 2 gram samples in 1000 cc of headspace. The ordinate is time in days, and the abscissa is oxygen uptake, measured in ml/g. Lines A, B, C and D show the oxygen scavenging rate for polymer of Examples 19, 20, 21 and 22, respectively.

Figure 5 compares the oxygen transmission rate (OTR) for two 3-layer films, one utilizing polymer from Example 25 (Line A, without UV treatment, having an average OTR of about 0.24 cc/m<sup>2</sup>/day, and Line B, with a 10 min. exposure to a 5.2 mv/cm<sup>2</sup> UV source at a distance of 5 cm and having an average OTR of about 0.06 cc/m<sup>2</sup>/day) and one using polymer from Example 19 (Line C, with the same UV dose

-12-

1 given to polymer of Ex. 25). Line D is the theoretical OTR of a mono-layer of  
2 ethylene-vinyl alcohol copolymer (0.13 cc/m<sup>2</sup>/day). The ordinate is time in hours,  
3 and the abscissa is oxygen transmission rate, measured in cc/m<sup>2</sup>/day. These rates are  
4 compared to the theoretical oxygen transmission rate of a 2-mil thick film of ethylene-  
5 vinyl alcohol copolymer resin. Point E is the time at which oxygen was started.

#### 6 DETAILED DESCRIPTION

7 In one embodiment, the compositions of the present invention are effective to  
8 scavenge oxygen. The term "scavenge" means to absorb, deplete, or react with  
9 oxygen so that a substantial amount of oxygen does not return to the environment  
10 from which it was absorbed, depleted, or reacted. A material which absorbs at least  
11 0.5 cc of oxygen per gram of composition per day is considered to be oxygen-  
12 scavenging.

13 Also, many of the compositions of the present invention have good adhesive  
14 properties. These compositions can bind layers of materials together or can be used  
15 as a coating on articles to provide a surface capable of binding. These compositions  
16 can also be effective as a heat-seal layer.

#### 17 DESCRIPTION OF THE COMPOSITIONS OF THE PRESENT INVENTION

18 In one embodiment, the compositions of the present invention comprise a transition-  
19 metal salt and a component having an ethylenic backbone and having pendant or  
20 terminal moieties which contain a benzyl radical.

21 A transition-metal salt, as the term is used herein, comprises an element chosen from  
22 the first, second and third transition series of the periodic table of the elements. This  
23 transition-metal salt is in a form which facilitates or imparts scavenging of oxygen by  
24 the composition of this invention. It is generally believed that the transition-metal salt  
25 is in an ionic state such that the transition element can readily inter-convert between

-13-

1 at least two oxidation states. Suitable transition-metal elements include, but are not  
2 limited to, manganese II or III, iron II or III, cobalt II or III, nickel II or III, copper I  
3 or II, rhodium II, III or IV, and ruthenium. The oxidation state of the transition-  
4 metal element when introduced into the composition is not necessarily that of the  
5 active form. It is only necessary to have the transition-metal element in its active  
6 form at or shortly before the time that the composition is required to scavenge  
7 oxygen. The transition-metal element is preferably iron, nickel or copper, more  
8 preferably manganese and most preferably cobalt.

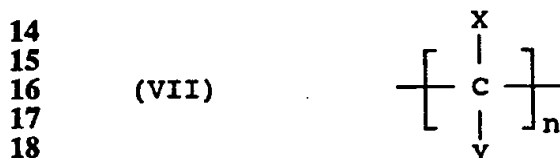
9 Suitable counter-ions for the transition metal element are organic or inorganic anions.  
10 These include, but are not limited to, chloride, acetate, stearate, palmitate,  
11 2-ethylhexanoate, citrate, glycolate, benzoate, neodecanoate or naphthenate. Organic  
12 anions are preferred. Particularly preferable salts include cobalt (II)  
13 2-ethylhexanoate, cobalt benzoate, and cobalt (II) neodecanoate. The transition-metal  
14 element may also be introduced as an ionomer, in which case a polymeric counter-ion  
15 is employed. Such ionomers are well known in the art. See U.S. Patent  
16 No. 3,264,272, which is incorporated by reference in its entirety.

17 The composition of the present invention contains a sufficient quantity of the  
18 transition-metal salt to promote oxygen scavenging in the polymer. Generally, this  
19 requires a ratio of moles of benzyl radicals to moles of transition-metal element  
20 between about 2000:1 to about 10:1. Preferably, this molar ratio is between 200:1  
21 and 20:1. The preferred amount of transition-metal element will typically vary with  
22 which transition-metal salt is used.

23 The composition of the present invention also comprises a component which  
24 comprises an ethylenic backbone. In one embodiment, the composition may be of  
25 low molecular-weight and have a benzyl group pendant or terminal to the ethylenic  
26 backbone. The backbone may have one ethylene unit or may be an oligomer or very

-14-

low molecular weight polymer having a melt index greater than about 1000 grams per 10 minutes. Examples include benzyl, dibenzyl or tribenzyl esters of C<sub>1</sub>-C<sub>20</sub> acids, such as citric acid, ascorbic acid, stearic acid and 1,10-decanedicarboxylic acid. In another embodiment, the composition has a polyethylenic backbone having a melt index within the range of about 0.3 to about 1000 grams per 10 minutes (ASTM Method No. D-882). Preferably, the melt index is between about 0.5 and about 100, and more preferably is between about 1 and about 10 g/10 min. A polyethylenic backbone consists essentially of a chain structure or backbone of saturated carbon atoms which, generally, is created during a polymerization process. For example, homopolymerization of ethylene provides a polyethylenic backbone. Copolymerization of ethylene and acrylic acid, methacrylic acid, alkyl acrylate, or alkyl methacrylate also results in a polyethylenic backbone with pendant acid or ester moieties. Any polymerization which provides a composition essentially of the form:



provides a polymer having a polyethylenic backbone.

The composition of the present invention also comprises moieties which contain a benzyl radical and which are pendant or terminal to the ethylenic backbone. A pendant moiety which contains a benzyl radical, as that term is used herein, is any group which is a side-chain or branch or is terminal to the ethylenic backbone and which contains a benzyl radical. In Formula (VII) above, moieties -X and -Y are pendant moieties.

The benzyl radical comprises a phenyl radical directly bonded to a methylene radical. These radicals may be substituted with a hydrocarbyl radical or a heteroatom or heteroatom-containing radical or may be unsubstituted. A substituted phenyl radical



-15-

1 has at least one radical substituted in place of at least one hydrogen atom of the  
2 phenyl radical. An unsubstituted methylene radical, for the purposes of this  
3 invention, consists of one carbon atom and two or three hydrogen atoms. A  
4 substituted methylene radical, for the purposes of this invention, consists of one  
5 carbon atom, one hydrogen atom, and at least one radical substituted in place of one  
6 of the hydrogen atoms. A benzyl radical may be bonded to the remainder of its  
7 pendant moiety through its phenyl radical. In this case, its methylene radical may be  
8 a methyl radical or a substituted methyl radical.

9 A heteroatom-containing radical is any radical which contains an element other than  
10 carbon and hydrogen. The heteroatom-containing radical generally improves the  
11 oxygen-scavenging abilities of the composition. When present, the heteroatom-  
12 containing radical is preferably bonded directly to the benzyl radical with no moieties  
13 present between the heteroatom-containing radical and the benzyl radical. The  
14 heteroatom-containing radical may be bonded to the benzyl radical in any combination  
15 of three possible ways. For example, the heteroatom-containing radical may be  
16 bonded to the methylene radical. It may also be substituted onto the methylene  
17 radical in place of one of the hydrogen atoms, in which case the methylene radical is  
18 attached directly to the backbone or the moiety attached to the backbone or to another  
19 heteroatom-containing moiety. Or, the heteroatom-containing radical may be  
20 substituted in place of one of the hydrogen atoms of the phenyl radical. Examples of  
21 heteroatom-containing radicals include amine, ether, sulfide, and ketone radicals, and  
22 preferred radicals are esters and amides.

23 Radicals which may be substituted onto the benzyl radical include alkyl radicals  
24 containing from 1 to 18 carbon atoms, alkoxy radicals having from 1 to 16 carbon  
25 atoms, amine radicals having from 1 to 6 carbon atoms, aryl radicals or substituted  
26 aryl radicals having 6 to 24 carbon atoms, aryl ether radicals or substituted aryl ether  
27 radicals having from 6 to 24 carbon atoms, and ester and amide radicals of acids

-16-

1       having from 1 to 16 carbon atoms. Aryl and aryl ether radicals can be substituted in  
2       the same manner as the methylene and the phenyl radicals, subject to the limitation  
3       that the aryl and aryl ether radicals, after substitution, have 6 to 24 carbon atoms  
4       total. Preferably, the radicals which are substituted onto the benzyl radical are  
5       selected from the group consisting of alkyl radicals containing from 1 to 6 carbon  
6       atoms, alkoxy radicals having from 1 to 6 carbon atoms, amine radicals having from  
7       1 to 6 carbon atoms, aryl radicals or substituted aryl radicals having 6 to 15 carbon  
8       atoms, aryl ether radicals or substituted aryl ether radicals having from 6 to 15 carbon  
9       atoms, and ester and amide radicals of acids having from 1 to 6 carbon atoms.  
10      Preferred radicals which provide higher oxygen scavenging rates are alkyl, alkoxy,  
11      and amine radicals.

12      Preferably, the moieties which are pendant to the ethylenic backbone comprise benzyl  
13      thioester, more preferably benzyl amide, and most preferably benzyl ester moieties.  
14      Preferably, the amide or ester is bonded directly to the ethylenic backbone. Other  
15      preferable pendant moieties contain benzyl ether groups, benzyl amine groups, and -  
16      CH<sub>2</sub>-aryl containing groups where the aryl group includes more than one ring, such  
17      as 1,3-dihydroisindole, anthracene, phenanthrene, naphthalene and the like.

18      Without limiting the invention to this theory, it is believed that the transition metal  
19      element catalyzes a reaction between the benzyl radicals in the pendant moieties and  
20      oxygen. In one preferred embodiment, this reaction results in scission of the bond  
21      between the methylene radical of the benzyl radical and the heteroatom-containing  
22      radical. This reaction forms a separate compound, a benzoic acid, a salt of a benzoic  
23      acid, or a substituted benzoic acid or salt. Preferably, benzoic acid is formed, which  
24      is listed by the FDA as a compound generally regarded to be safe for human  
25      consumption in limited quantities.

-17-

1 It is believed that the primary function of the benzyl radicals is to react irreversibly  
2 with oxygen during the scavenging process. The primary function of the transition-  
3 metal salt is to facilitate this process. Thus, to a large extent, the quantity of benzyl  
4 radicals and the amount of transition-metal salt will affect the rate at which oxygen is  
5 consumed. Thus, the quantities of benzyl radicals and transition-metal salt are  
6 selected in accordance with the scavenging rate needed.

7 In one preferred embodiment, a polymeric composition of the present invention  
8 contains between about one and ten mole percent benzyl radicals. More preferably,  
9 the composition contains between about two and six percent, and more preferably  
10 still, between about two and three mole percent benzyl radicals. Preferably, the  
11 benzyl radicals are bonded directly to a heteroatom-containing group. The exact  
12 amount of benzyl radicals and heteroatom-containing radicals as well as the amount of  
13 transition-metal salt are normally determined by the application in which the  
14 composition is going to be employed.

15 In another preferred embodiment, a composition of the present invention comprises a  
16 terpolymer of ethylene, a benzylic acrylate or acrylamide, and an alkyl acrylate, alkyl  
17 methacrylate, acrylic acid, or methacrylic acid. This type of composition has a  
18 polyethylenic backbone which is substituted with alkyl ester or acid moieties as well  
19 as scavenging moieties. Scavenging moieties, for the purpose of this invention,  
20 consist of the benzyl radicals and substituted benzyl radicals and additionally any  
21 heteroatom-containing radicals bonded to the benzyl radicals or substituted radicals, as  
22 defined previously. Preferred alkyl acrylates are butyl and ethyl acrylate, and most  
23 preferred is methyl acrylate (MA). Preferred acrylic acids are acrylic acid and  
24 methacrylic acid. These ester and acid moieties can provide good adhesive properties  
25 in tie layers, for example.

-18-

1 The polymeric compositions of the present invention may also be ionomers which  
2 contain salts of an alkyl ester or acid. Sodium, potassium, zinc, and lithium salts are  
3 preferred.

4 Additives may also be included in the composition to impart properties desired for a  
5 particular use. Such additives include, but are not necessarily limited to, fillers,  
6 pigments, dyestuffs, antioxidants, stabilizers, processing aids, plasticizers, fire  
7 retardants, anti-fog agents, etc. The amount of these additives vary by use and  
8 typically comprise less than 10%, and preferably less than 5%, of the total weight of  
9 the composition.

10 One additive that may be included in the composition is a photoinitiator, which acts to  
11 reduce the induction period of many oxygen scavenging compositions. *See* U.S.  
12 Patent No. 5,211,875, which discusses photoinitiators and which is incorporated by  
13 reference in its entirety.

#### 14 METHODS OF MAKING THE COMPOSITIONS OF THE PRESENT INVENTION

15 Compositions of the present invention having an ethylenic backbone and pendant  
16 moieties comprising benzyl radicals can be made by many means. Typically, the  
17 process comprises incorporating a transition-metal salt into the ethylenic scavenging  
18 component.

19 There are many ways to make the ethylenic component of the compositions of the  
20 present invention. Monomers containing benzyl radicals can be homopolymerized or  
21 copolymerized with ethylene, propylene or other olefins to provide an ethylenic  
22 backbone after polymerization. Methods for this type of polymerization are well-  
23 known in the art and include solution, slurry, or gas-phase polymerization in the  
24 presence of a catalyst, such as a free radical catalyst, a Ziegler Natta catalyst, or a  
25 metallocene polymerization catalyst.

-19-

1 A preferred way to make a polymer component is to produce a polymer intermediate  
2 and react the intermediate with a suitable benzylic compound to form the polymer  
3 component. The transition-metal salt can be incorporated into the composition before,  
4 during, or after reacting the polymer intermediate with the benzylic compound.

5 There are many types of polymer intermediates which are useful in making  
6 compositions of the present invention. For example, an alkyl methacrylate can be  
7 homopolymerized by way of addition polymerization to form a polymer having an  
8 ethylenic backbone with pendant methyl groups and with pendant alkyl ester groups.  
9 Copolymerization of ethylene with an alkyl acrylate or methacrylate also forms a  
10 useful polymer intermediate. One preferred copolymer is ethylene methyl acrylate  
11 copolymer, sold by Chevron Chemical Company as EMAC® copolymer.

12 High melt-point ethylene-alkyl acrylate copolymers are also useful polymer  
13 intermediates. These copolymers have a melt-point temperature at least about 6 deg F  
14 greater than a reference ethylene-alkyl acrylate copolymer, where the reference  
15 copolymer is made in a multi-zone autoclave reactor and the ratio of alkyl acrylate to  
16 ethylene in a reaction zone when making the reference copolymer is about equal to  
17 the overall ethylene to alkyl acrylate ratio fed to the multi-zone autoclave reactor. A  
18 high melt-point ethylene-methyl acrylate copolymer typically has a melt-point  
19 temperature greater than the value obtained from the expression:

20 
$$\text{temperature (deg F)} = 248 - 2.9Y$$

21 where Y is the weight percent of methyl acrylate in the high melt-point ethylene-  
22 methyl acrylate copolymer and where Y is greater than 10. Similarly, a high melt-  
23 point ethylene-butyl acrylate copolymer typically has a melt-point temperature greater  
24 than the value obtained from the expression:

-20-

1           temperature (deg F) =  $240 - 2.1Z$

2           where Z is the weight percent of butyl acrylate in the high melt-point ethylene-butyl  
3           acrylate copolymer and where Z is greater than 15. High melt-point ethylene-alkyl  
4           acrylate copolymers can be made by a process comprising:

- 5           A.     feeding overall an amount by weight, A, of alkyl acrylate and an  
6                   amount by weight, E, of ethylene to a multi-zone autoclave  
7                   polymerization reactor;  
8           B.     introducing an effective amount of an initiator and at least a portion,  
9                   E<sub>1</sub>, of the total amount of ethylene into a first reaction zone of the  
10                  reactor;  
11           C.     concurrently introducing a portion, A<sub>1</sub>, of alkyl acrylate to said first  
12                  reaction zone such that the ratio A<sub>1</sub>/E<sub>1</sub> is at least about 20% more than  
13                  or is at least about 20% less than the ratio A/E for the reactor overall;  
14                  and  
15           D.     feeding any remaining portions of initiator, ethylene and alkyl acrylate  
16                  to a subsequent reaction zone or zones.

17           High melt-point ethylene-alkyl acrylate copolymers are disclosed in U.S. Ser. Nos.  
18           07/764,861, filed Sep. 24, 1991, 07/947,870, filed Sep. 21, 1992, and 08/233,180,  
19           filed Apr. 26, 1994, which are incorporated by reference herein in their entirety.

20           Another useful polymer intermediate is ethylene vinyl acetate copolymer. Yet another  
21           polymer intermediate is a polyethylenic-backbone polymer containing maleic  
22           anhydride moieties. For example, Lotader, a product of ELF Atochem, contains  
23           ethylene, alkyl acrylate and maleic anhydride moieties in which the unsaturated  
24           carbon atoms of maleic anhydride become saturated carbon atoms within the  
25           polyethylenic backbone. Alternatively, maleic anhydride may be grafted to a polymer

-21-

1 having a polyethylenic backbone by, for example, free-radical grafting. Methods for  
2 making these polymers are well-known in the art. See, for example, U.S. Patent  
3 No. 4,506,056, which is incorporated by reference in its entirety. An ester, acid,  
4 acetate, or anhydride in the moieties pendant to the polyethylene backbone is then  
5 reacted with a benzylic compound to form a polymer useful in the compositions of the  
6 present invention.

7 The benzylic compound which is reacted with the polymer intermediate is selected on  
8 the basis of its reactivity with the particular polymer intermediate and on the basis of  
9 whether a heteroatom-containing radical is desired to improve the reactivity of the  
10 benzyl radical with oxygen. The benzylic compound may be substituted or  
11 unsubstituted, as discussed previously. In a preferred embodiment of this invention, a  
12 polymer intermediate having pendant methyl acrylate moieties, ethylene-methyl  
13 acrylate copolymer, is transesterified with benzyl alcohol to form pendant benzyl  
14 acrylate moieties. Likewise, in another preferred embodiment, ethylene-methyl  
15 acrylate copolymer is transamidated with benzyl amine to form pendant benzyl-amide  
16 moieties. Benzyl alcohol is available from Akzo Chemical Company, and benzyl  
17 amine is available from Spectrum Chemical Company. In these cases, the important  
18 feature of the benzylic compound is that it is capable of transesterification or  
19 transamidation under conditions sufficient to promote such transesterification or  
20 transamidation.

21 Imidation (the reaction of an anhydride with a primary amine to form an imide),  
22 transesterification, or transamidation may be performed in an autoclave. Reaction  
23 conditions will vary, depending on the reactants. A transesterification or  
24 transamidation catalyst may be used. For a polymer intermediate having alkyl  
25 acrylate or methacrylate pendant moieties, typically the reaction will be performed at  
26 a temperature between 180 and 300°C and at a pressure of between 50 and 1000 psi  
27 for a period of time between ½ and 8 hours. Preferably, the reaction will be

-22-

1 performed at a temperature between 200 and 240°C and at a pressure of between 100  
2 and 600 psi for a period of time between 1 and 5 hours where ethylene-methyl  
3 acrylate copolymer is reacted with benzyl amine. This produces a polymer containing  
4 pendant moieties comprising benzyl radicals. Amidation of an acid or transamidation  
5 may also be performed in a reactive extruder, as discussed below.

6 Esterification, transesterification, transamidation, or imidation may also be performed  
7 by dissolving an ethylenic component such as a polymer in a solvent and heating the  
8 component, the benzylic compound (e.g. benzyl amine or benzyl alcohol), and  
9 optionally the transesterification or transamidation catalyst at reflux conditions. The  
10 conditions can vary, depending on the particular composition sought. Typically the  
11 reaction will be performed at a temperature between 130 and 240°C for a period of  
12 time between ½ and 16 hours. Preferably, the reaction will be performed at a  
13 temperature between 160 and 200°C for a period of time between 1 and 8 hours  
14 where ethylene-methyl acrylate copolymer is reacted with benzyl alcohol. This also  
15 produces a polymer containing pendant moieties comprising benzyl radicals. This  
16 method is useful for esterifying low molecular-weight acids with a benzylic alcohol.

17 Esterification or transesterification can be facilitated by use of transesterification  
18 catalysts, which are well-known in the art. Suitable transesterification catalysts  
19 include strong non-oxidizing acids, Group I alkoxides, and Group IVB alkoxides,  
20 such as di-butyl tin dilaurate, sodium methoxide, toluene sulfonic acid, tetrabutyl  
21 titanate, tetraisopropyl titanate, and tetraethyl titanate, with tetraalkyl titanate being  
22 particularly preferred. Titanate catalysts are available from Hüls America.

23 Likewise, transamidation can be facilitated by use of transamidation catalysts, which  
24 are well-known in the art. Suitable transamidation catalysts include 2-hydroxy  
25 pyridine and sodium methoxide, with 2-hydroxy pyridine being particularly preferred.  
26 These catalysts are available from Aldrich.



-23-

1                                    REACTIVE EXTRUSION

2        A particularly preferred method of making ester or imide compositions of the present  
3        invention is through reactive extrusion. In this process, a composition of the present  
4        invention or preferably the ethylenic component of a composition of the present  
5        invention is made by melt-blending a polymer intermediate with a benzylic-containing  
6        compound and, optionally, with the transesterification and/or transamidation catalyst  
7        and, also optionally, the transition-metal salt. Reaction conditions are chosen to  
8        promote esterification, transesterification and/or imidation. Esterification and  
9        transesterification preferably occurs using a transesterification catalyst. The resulting  
10       polymer can be extruded into any convenient form, such as pellets or film. The  
11       reactive extrusion process is discussed in further detail below.

12       1.       THE POLYMER FED TO THE REACTIVE EXTRUDER

13       The polymer fed to the reactive extruder, also referred to herein as an ethylene  
14       copolymer, has a polyethylenic backbone and pendant ester and/or acid moieties.  
15       This polymer has a melt index within the range of about 0.3 to about 1000 grams per  
16       10 minutes (ASTM Method No. D-882). Preferably, the melt index is between about  
17       0.5 and about 100, and more preferably is between about 1 and about 10 g/10 min.

18       The ethylene copolymer also contains ester and/or acid groups or radicals which are  
19       pendant to the polyethylenic backbone. A pendant moiety which contains an ester or  
20       acid radical is any group which is a side-chain or branch to the polyethylenic  
21       backbone and which contains an ester radical, an acid radical, or a radical that can be  
22       considered to be equivalent to an acid, such as an anhydride. In Formula (I) above,  
23       the moieties X and Y are pendant moieties.

24       The hydrocarbyl radical on the ester is one which is capable of being transesterified  
25       under transesterification conditions by the transesterifying compound and the optional  
26       transesterification catalysts. The ester radical preferably has an unsubstituted

-24-

1 hydrocarbonyl radical. An unsubstituted hydrocarbonyl radical for the purposes of this  
2 invention includes a C<sub>1</sub>-C<sub>8</sub> alkyl, preferably C<sub>1</sub>-C<sub>4</sub> alkyl, and more preferably a  
3 methyl radical.

4 The ester radical may have a hydrocarbonyl radical that is substituted with a heteroatom  
5 or a heteroatom-containing radical. A heteroatom is an element other than carbon and  
6 hydrogen. Examples of a substituted hydrocarbonyl radical on unreacted ethylene  
7 copolymers are methoxy ethyl and mono-methoxy polyethylene glycol.

8 The ethylene copolymer fed to the reactive extruder contains a major portion of  
9 ethylene. Typically, the ethylene copolymer contains from about 83 to about 99.7  
10 mole percent ethylene, based on all comonomers present in the polymer. Preferably,  
11 the polymer contains about 90.7 to 98 mole percent, and more preferably, contains 93  
12 to 97 mole percent ethylene.

13 In one preferred embodiment, the polymer fed to the reactive extruder is an ethylene  
14 alkyl acrylate copolymer. As used herein, the term "ethylene alkyl acrylate  
15 copolymer" also includes ethylene-alkyl methacrylate copolymer and ethylene-alkyl  
16 acrylate-alkyl methacrylate copolymer. Ethylene-alkyl acrylate copolymers and  
17 methods of making them are well-known in the art. Particularly preferred is  
18 ethylene-methyl acrylate copolymer. High melt-point ethylene-alkyl acrylate  
19 copolymers are also useful polymer intermediates.

20 In another preferred embodiment, the polymer fed to the reactive extruder is an  
21 ethylene vinyl acetate copolymer, an ethylene acrylic acid copolymer or an ethylene  
22 copolymer containing pendant groups which are equivalent to acid moieties, such as  
23 anhydrides.

-25-

1 The ethylene copolymer may optionally contain other comonomers which, when  
2 present in the polymer, do not interfere with the transesterification reaction. The  
3 optional comonomers may react with the transesterifying compound, but interference  
4 for the purposes of this invention occurs when less than 5 % of the ester moieties in  
5 the polymer that would have otherwise transesterified if the optional comonomer was  
6 not present transesterify in the presence of a stoichiometric excess of transesterifying  
7 compound because of the presence of the optional comonomer.

8 One example of an ethylene copolymer having other comonomers which do not  
9 interfere with the transesterification reaction is a partially saponified ethylene alkyl  
10 acrylate copolymer. Sodium, lithium, or potassium ionomers of an ethylene alkyl  
11 acrylate copolymer are described in U.S. Ser. No. 08/144,173, filed Oct. 27, 1993,  
12 which is incorporated by reference in its entirety. Preferred is ethylene-methyl  
13 acrylate-sodium acrylate copolymer having between about 1 and about 17 mole  
14 percent methyl acrylate and about 1 and about 9 mole percent sodium acrylate.  
15 Another example is Lotader, a product of ELF Atochem, which is an ethylene alkyl  
16 acrylate copolymer which contains maleic anhydride moieties whose unsaturated  
17 carbon atoms became saturated carbon atoms within the polyethylenic backbone.  
18 Alternatively, maleic anhydride may be grafted to a polymer having a polyethylenic  
19 backbone by, for example, free-radical grafting. Methods for making these polymers  
20 are well-known in the art. See, for example, U.S. Patent No. 4,506,056, which is  
21 incorporated by reference in its entirety.

## 22 2. THE TRANSESTERIFYING COMPOUND

23 The term "transesterifying compound" includes compounds which transesterify with a  
24 second compound as well as compounds which esterify a second compound. The  
25 transesterifying compound is selected from compounds having the ability to participate  
26 in an esterification or transesterification reaction for the particular ethylene copolymer  
27 chosen for the reaction. The transesterifying compound may itself be a polymer that

-26-

1 is capable of supplying a hydrocarbyl radical to the ethylene copolymer chosen for  
2 transesterification. In this way, hydrocarbyl groups may be interchanged between the  
3 two polymers, or the two polymers may become cross-linked with one another.

4 The hydrocarbon radical of the transesterifying compound includes alkyl radicals  
5 containing from 1 to 18 carbon atoms, aryl radicals or substituted aryl radicals having  
6 6 to 24 carbon atoms, and aryl ether radicals or substituted aryl ether radicals having  
7 from 6 to 24 carbon atoms.

8 For example, when the ethylene copolymer is an ethylene alkyl acrylate copolymer or  
9 an ethylene acrylic acid copolymer, the transesterifying compound may be an alcohol,  
10 a diol, a polyol, and ether-ol, an ene-ol, a polyethylene glycol, a hydroxyl-terminated  
11 polycarbonate or hydroxyl-containing asphalt. Alcohols are preferred transesterifying  
12 compounds for these copolymers. Benzyl alcohol is particularly preferred when  
13 making adhesives and oxygen scavenging compounds.

14 When the ethylene copolymer is an ethylene vinyl acetate copolymer, the  
15 transesterifying compound may be an organic acid, such as  $C_1$ - $C_{16}$  acid,  $C_1$ - $C_{12}$  diacid  
16 and triacid, for example, oleic acid, stearic acid, benzoic acid and citric acid. Phenyl  
17 acetic acid is particularly preferred. However, the transesterifying compound for an  
18 ethylene vinyl acetate copolymer is not an alcohol or other compound which removes  
19 the carboxy radical from the ethylene copolymer, resulting in an ethylene vinyl  
20 alcohol copolymer. It is an essential feature of this invention that the polymer have a  
21 polyethylenic backbone and pendant ester moieties after transesterification.

22 The amount of transesterifying compound is typically between about 0.05 mole of  
23 hydrocarbyl radical from the transesterifying compound per mole of ester on the  
24 ethylene copolymer to 2 moles per mole. Preferably, the amount of transesterifying  
25 compound is at or slightly in excess of the stoichiometric amount required to obtain

-27-

1 the desired extent of transesterification of ester moieties. The transesterifying  
2 compounds are usually liquids for which no solvent is required, although some  
3 transesterifying compounds such as hexadecanol are solid. A solid compound may be  
4 fed to the extruder neat, or it may be fed to the extruder in a suitable solvent, so long  
5 as the compound is mixed uniformly in the melt. The amount of liquid fed to the  
6 extruder is preferably minimized so that downstream processing to remove the liquid  
7 is not required.

8 The hydrocarbyl radical of the transesterifying compound may be a hydrocarbyl  
9 radical or may be a hydrocarbyl radical substituted with a heteroatom or a  
10 heteroatom-containing radical. A heteroatom is any element other than carbon and  
11 hydrogen.

12 When the process is used to make an oxygen-scavenging composition, an electron-  
13 donating group such as a heteroatom or heteroatom-containing radical generally  
14 improves the oxygen-scavenging abilities of the composition. When present, the  
15 heteroatom or heteroatom-containing radical is preferably bonded directly to the  
16 hydrocarbyl radical of the transesterifying compound with no moieties present  
17 between the heteroatom or heteroatom-containing radical and the pendant radical.  
18 One oxygen scavenging composition which is preferred is an ethylene-benzyl ester  
19 copolymer. In this case, the heteroatom-containing radical may be bonded to the  
20 benzyl radical in any of three possible ways. The heteroatom-containing radical may  
21 be bonded to the methylene radical of the benzyl moiety. It may also be substituted  
22 onto the methylene radical in place of one of the hydrogen atoms, in which case the  
23 methylene radical is attached directly to the backbone or the moiety attached to the  
24 backbone or to another heteroatom-containing moiety. Or, the heteroatom-containing  
25 radical may be substituted in place of one of the hydrogen atoms of the phenyl  
26 radical. Examples of heteroatom-containing radicals include amine, ether, sulfide,  
27 and ketone radicals, and preferred radicals are esters and amides. Aryl and aryl ether

-28-

1 radicals can be substituted in the same manner on the methylene and the phenyl  
2 radicals, subject to the limitation that the aryl and aryl ether radicals, after  
3 substitution, have 6 to 24 carbon atoms total. Preferably, the radicals which are  
4 substituted onto the benzyl radical are selected from the group consisting of alkyl  
5 radicals containing from 1 to 6 carbon atoms, alkoxy radicals having from 1 to 6  
6 carbon atoms, amine radicals having from 1 to 6 carbon atoms, aryl radicals or  
7 substituted aryl radicals having 6 to 15 carbon atoms, aryl ether radicals or substituted  
8 aryl ether radicals having from 6 to 15 carbon atoms, and ester and amide radicals of  
9 acids having from 1 to 6 carbon atoms. Preferred radicals which provide higher  
10 oxygen scavenging rates are alkyl, alkoxy, and amine radicals that are bonded to the  
11 methylene radical of the benzyl moiety, or that are bonded in the ortho and/or para  
12 position on the phenyl moiety.

### 13 3. TRANSESTERIFICATION PROCESS

14 The ethylene copolymer is transesterified in a reactive extruder. In this process, a  
15 melt of a polymer having a polyethylenic backbone and pendant ester moieties is  
16 mixed with a transesterifying compound and, optionally, a transesterification catalyst  
17 and/or an oxygen scavenging-promoting transition-metal salt in a reactive extruder.  
18 Reaction conditions are chosen to promote transesterification. Transesterification  
19 preferably occurs using a transesterification catalyst. The resulting polymer can be  
20 extruded into any convenient form, such as pellets or film, and may be exposed to  
21 actinic radiation.

22 A melt-blend is preferably made by introducing solid ethylene copolymer (such as  
23 ethylene-methyl acrylate copolymer pellets) into a reactive extruder at a temperature  
24 and mixing time sufficient to melt the polymer and blend it with the transesterifying  
25 compound and any catalysts and transition-metal salts which are optionally introduced  
26 into the reactive extruder. A melt may also be formed outside the reactive extruder  
27 and fed into the reactive extruder. The appropriate temperature for melt-blending is

-29-

1 within the temperature range established by the melting temperature of the polymer  
2 and the temperature at which the polymer starts to degrade. Typically, the  
3 temperature is between 180 and 250°C. The blend time, which is the length of time  
4 required to mix or blend the polymer, transesterifying compound, and optional  
5 catalyst and transition-metal salt, is chosen to provide good mixing and significant  
6 reaction of the transesterifying compound with the polymer. Typically, the blend  
7 time is between 5 seconds and 2 minutes in the reactive extruder.

8 Little or no solvent is used beyond that amount needed to assure good contact of the  
9 transesterifying compound with the melt. Alcohols and organic acids typically are  
10 liquids at reaction conditions, so no solvent is necessary for these transesterifying  
11 compounds. The transesterification reaction can proceed without using a polymer  
12 solvent, since the polymer melt and intensive mixing supplied by the extruder provide  
13 sufficient contact between the polymer and the transesterifying compound.

14 The degree of reaction of the ethylene copolymer is preferably based on the amount  
15 of transesterifying compound added. Preferably, the transesterifying compound  
16 completely reacts with the polymer, so that excess transesterifying compound does not  
17 have to be removed in further processing steps.

18 Esterification or transesterification can be facilitated by use of the transesterification  
19 catalysts discussed above.

20 The reactive extruder is preferably a twin-screw intermeshing reactive extruder.  
21 Uniform and intensive mixing such as that supplied by kneading blocks and right-hand  
22 elements is particularly preferred. See U.S. Ser. No. 08/144,173, filed Oct. 27,  
23 1993, in this regard.

-30-

1 A reactive extruder may be used in series with one or more reactive extruders or with  
2 other processing equipment. When one reactive extruder is used, it is typically  
3 divided into at least two zones, a reaction zone and a devolatilization zone. The  
4 pressure in the reaction zone is typically at essentially atmospheric pressure. The  
5 reaction zone may be under slight pressure due to the heat and extruder's action on  
6 the polymer. The devolatilization zone is typically under vacuum to assist removing  
7 volatile materials from the transesterified polymer.

8 When the process is used to make an oxygen-scavenging polymer, an oxygen  
9 scavenging-promoting transition-metal salt may be added into the polymer during the  
10 transesterification reaction. Alternatively, the transition metal salt can be  
11 incorporated into the polymer component by, for instance, coating pellets of the  
12 transesterified ethylene copolymer with the transition-metal salt and melt-blending the  
13 pellets in an extruder, thereby incorporating into the melt blend an amount of the  
14 transition-metal salt that is effective to catalyze oxygen scavenging. The method of  
15 incorporating the transition-metal salt into the composition is not critical, as long as  
16 the transition-metal salt is dispersed throughout the composition prior to use of the  
17 composition as an oxygen scavenger. The transition-metal salt can be incorporated  
18 into the composition before, during, or after transesterification.

19 Preferred polymers used in the oxygen-scavenging compositions of the present  
20 invention comprise ethylene alkyl acrylate copolymers which have been reacted with  
21 benzyl alcohol or benzyl amine to form an ethylene benzyl acrylate or an ethylene  
22 benzyl acrylamide polymer. These polymers are typically made by transesterification  
23 or transamidation as described above. A particularly preferred polymer intermediate  
24 is ethylene methyl acrylate copolymer. A composition of the present invention made  
25 from ethylene-methyl acrylate copolymer having 40 weight percent methyl acrylate  
26 can have from about 0.33 to about 17.85 mole percent of the scavenging moieties.  
27 Ethylene methyl acrylate copolymer which has 24 weight percent methyl acrylate can



-31-

1 have from about 0.33 to about 9.33 mole percent of the scavenging moieties.  
 2 Preferably, reacted ethylene methyl acrylate copolymer will have between about 1 and  
 3 10 mole percent, more preferably between about 2 and 6 mole percent, and more  
 4 preferably still, between about 2 and 3 mole percent of the scavenging moieties. It is  
 5 often desirable to have partial transesterification or transamidation, thereby leaving  
 6 some of the alkyl acrylate moieties unreacted, so that the polymer properties can be  
 7 tailored to the particular application. The physical properties of the reacted polymers  
 8 are similar to the physical properties of unreacted alkyl acrylate copolymer. As a  
 9 result, a composition of the present invention using ethylene-methyl acrylate  
 10 copolymer to form an ethylene benzyl acrylate or ethylene methyl acrylate benzyl  
 11 acrylate terpolymer is optically clear and has similar processing characteristics to  
 12 ethylene-methyl acrylate copolymer. Ethylene-methyl acrylate copolymer which has  
 13 been partially transesterified to form an ethylene methyl acrylate benzyl acrylate  
 14 terpolymer (about 76.6/14.4/9 wt. %, respectively) and which contains about 1000  
 15 ppm cobalt in the form of cobalt neodecanoate is a particularly preferred composition  
 16 of the present invention.

17 In another preferred embodiment, the oxygen scavenging compositions of the present  
 18 invention utilize a polymer prepared by reacting an ethylene alkyl acrylate copolymer,  
 19 or an ethylene methyl methacrylate copolymer, with a benzylic amine or alcohol of  
 20 Formula (VIII).



24 In Formula (VIII), X is NH<sub>2</sub> or OH, and R is independently selected from the group  
 25 consisting of hydrogen, phenyl, alkyl radicals containing from 1 to 18 carbon atoms,  
 26 alkoxy radicals having from 1 to 16 carbon atoms, amine radicals having from 1 to 6  
 27 carbon atoms, aryl radicals or substituted aryl radicals having 6 to 24 carbon atoms,

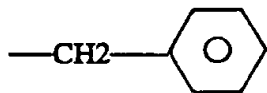
-32-

1 aryl ether radicals or substituted aryl ether radicals having from 6 to 24 carbon atoms,  
2 and ester and amide radicals of acids having from 1 to 16 carbon atoms. X is  
3 preferably  $\text{NH}_2$  or OH, and R is preferably methyl or methoxy, and more preferably  
4 is H. The amine is preferably benzyl amine, and the alcohol is preferably benzyl  
5 alcohol. Mixtures of benzylic alcohols and benzylic amines can also be used.

6 Among other factors, it has been found that these polymers are surprisingly good  
7 oxygen scavengers when combined with a transition metal salt, such as an organic  
8 cobalt salt. Moreover, the oxygen scavenging capacity and other physical properties  
9 of these polymers can be readily varied by selecting the amount of alkyl acrylate in  
10 the copolymer reactant and the quantity of benzylic amine or alcohol used in the  
11 reaction.

12 When a benzylic alcohol is the reactant, an especially useful composition contains  
13 between 1 and 10 mole %, and preferably between 2 and 6 mole %, of the benzylic  
14 structure of Formula (IX).

15 (IX)



16 These polymers are also useful as adhesives or electrical insulators.

17 Preferred polymers are poly(ethylene - methyl acrylate - benzyl acrylate) terpolymers,  
18 and poly (ethylene - methyl acrylate - benzyl-acrylamide) terpolymers.

19 It is expected that an oxygen-scavenging composition having primarily benzyl ester  
20 radicals as the scavenging moieties will be especially useful for food applications.  
21 The oxidation product which is freed from the polymer backbone when oxygen reacts  
22 with the polymer is a benzoic acid which, in certain quantities, is FDA-approved for  
23 addition to foods.

-33-

1 Polymers containing alkyl acrylate moieties can be partially or completely saponified  
2 by methods well-known in the art to make ionomers. One such method is disclosed  
3 in U.S. Ser. No. 08/144,173, filed Apr. 5, 1994, which is incorporated by reference  
4 herein in its entirety.

5 Optionally, the compositions and process of this invention can include exposure of the  
6 polymer containing the oxygen scavenging-promoting transition metal to actinic  
7 radiation to reduce the induction period, if any, before oxygen scavenging  
8 commences. U.S. Patent No. 5,211,875, which is incorporated by reference in its  
9 entirety, discloses a method for initiating oxygen scavenging by exposing a film  
10 comprising an oxidizable organic compound and a transition metal catalyst to actinic  
11 radiation. A composition of the present invention which has a long induction period  
12 in the absence of actinic radiation but a short or non-existent induction period after  
13 exposure to actinic radiation is particularly preferred. Compositions which are  
14 activated by actinic radiation can be stored without special preparation or storage  
15 requirements, such as being packaged or kept in a nitrogen environment. They  
16 maintain a high capability for scavenging oxygen upon activation with actinic  
17 radiation. Thus, oxygen scavenging can be activated when desired.

18 The radiation used can be actinic, e.g., ultraviolet or visible light having a wavelength  
19 of about 200 to 750 nanometers (nm), and preferably having a wavelength of about  
20 200 to 400 nm. When employing this method, it is preferable to expose the  
21 composition to at least 0.01 Joules per gram of composition of this invention. A  
22 typical amount of exposure is in the range of 0.1 to 100 Joules per gram. Other  
23 sources of radiation include ionizing radiation such as gamma, x-rays and corona  
24 discharge. The duration of exposure depends on several factors including, but not  
25 limited to, the amount and type of photoinitiator present, thickness of the layers to be  
26 exposed, amount of any antioxidant present, and the wavelength and intensity of the

-34-

1 radiation source. Preferred compositions of the present invention do not require a  
2 photoinitiator.

3 USE OF THE COMPOSITIONS OF THE PRESENT INVENTION AND  
4 PRODUCTS OF THE REACTIVE EXTRUSION PROCESS

5 Polymers made by the reactive extrusion process of this invention are useful in many  
6 applications, such as injection molding, blow molding, coatings, adhesives, and  
7 packaging and protective films. For example, ethylene-methyl acrylate-benzyl  
8 acrylate copolymer is useful as an electrical insulator or an adhesive, especially when  
9 the polymer contains more than 1, and preferably more than 2, mole percent benzyl  
10 acrylate.

11 Oxygen-scavenging compositions of the present invention are useful in many ways.  
12 They can be processed into the form of high surface-area fibers for removing oxygen  
13 which contacts the fibers. The compositions can be dispersed as small particles for  
14 absorbing oxygen or can be coated onto materials such as metallic foil, polymer film,  
15 metalized film, or cardboard to provide, in some embodiments, scavenging properties  
16 and/or adhesive properties. The compositions are also useful in making articles such  
17 as single or multi-layer rigid thick-walled plastic containers (typically, between 8 and  
18 100 mils in thickness) or in making single or multi-layer flexible films. Some of the  
19 compositions of the present invention are easily formed into films using well-known  
20 means. These films can be used alone or in combination with other films or  
21 materials.

22 The compositions of the present invention may be further combined with one or more  
23 polymers, such as thermoplastic polymers which are typically used to form film layers  
24 in plastic packaging articles. In the manufacture of certain packaging articles, well-  
25 known thermosets can also be used as a polymeric diluent.

-35-

1       Selecting combinations of a diluent and the composition of the present invention  
2       depends on the properties desired. Polymers which can be used as the diluent  
3       include, but are not limited to, polyethylene, low or very low density polyethylene,  
4       ultra-low density polyethylene, linear low density polyethylene, polypropylene,  
5       polyvinyl chloride, and ethylene copolymers such as ethylene-vinyl acetate, ethylene-  
6       alkyl acrylates or methacrylates, ethylene-acrylic acid or methacrylic acid, and  
7       ethylene-arylic or metharylic acid ionomers. In rigid packaging applications,  
8       polystyrene is used, and in rigid articles such as beverage containers, polyethylene  
9       terephthalate (PET), is often used. See U.S. Patent No. 5,021,515. Blends of  
10       different diluents may also be used. However, as indicated above, the selection of the  
11       polymeric diluent largely depends on the article to be manufactured and the end use.  
12       Such selection factors are well known in the art.

13       If a diluent polymer such as a thermoplastic is employed, it should further be selected  
14       according to its compatibility with the composition of the present invention. In some  
15       instances, the clarity, cleanliness, effectiveness as an oxygen scavenger, barrier  
16       properties, mechanical properties and/or texture of the article can be adversely  
17       affected by a blend containing a polymer which is incompatible with the composition  
18       of the present invention.

19       One particular advantage of the compositions of the present invention where ethylene-  
20       methyl acrylate copolymer is modified to form ethylene benzyl acrylate copolymer is  
21       the substantial similarity of the properties of the ethylene benzyl acrylate copolymer to  
22       the properties of ethylene-methyl acrylate copolymer and polyethylene. This permits  
23       the inclusion of compositions of the present invention in a wide range of applications  
24       where polyethylene and ethylene-methyl acrylate copolymer are used.

25       A blend of a composition of the present invention with a compatible polymer can be  
26       made by dry blending or by melt-blending the polymers together at a temperature in

-36-

1 the approximate range of 50°C to 250°C. Alternative methods of blending include  
2 the use of a solvent followed by evaporation. When making film layers or articles  
3 from oxygen-scavenging compositions, extrusion or coextrusion, solvent casting,  
4 injection molding, stretch blow molding, orientation, thermoforming, extrusion  
5 coating, coating and curing, lamination or combinations thereof would typically  
6 follow the blending.

7 The amounts of transition-metal salt, polymer comprising a polyethylenic backbone  
8 having pendant moieties comprising benzyl radicals, and optional polymeric diluents  
9 and additives vary depending on the article to be manufactured and its end use.  
10 These amounts also depend on the desired scavenging capacity, the desired scavenging  
11 rate, the induction period of the oxygen scavenger, and the particular materials  
12 selected.

13 The compositions of the present invention have various induction periods before the  
14 compositions become effective oxygen scavengers. For example, to scavenge oxygen  
15 using essentially an ethylene benzyl acrylate copolymer, the composition must either  
16 have its induction period reduced, such as by exposing it to ultraviolet light, or the  
17 induction period must lapse. However, a composition comprising an ethylene benzyl  
18 acrylate copolymer having one or more methoxy radicals substituted onto each phenyl  
19 radical will have a very short induction period without exposure to actinic radiation,  
20 so that the composition is effective to scavenge oxygen almost immediately. Thus,  
21 the particular composition chosen for a given use will depend in part on the length of  
22 time that the composition is to be stored prior to scavenging oxygen. See Example 29  
23 and Figure 1.

24 Layers comprising the composition of the present invention may be in several forms.  
25 They may be in the form of stock films, including "oriented" or "heat shrinkable"  
26 films, which may ultimately be processed as bags, etc., or in the form of stretch-wrap

-37-

1 films. The layers may also be in the form of sheet inserts to be placed in a packaging  
2 cavity. In rigid articles such as beverage containers, thermoformed trays or cups, the  
3 layer may be within the container's walls. Even further, the layer may also be in the  
4 form of a liner placed with or in the container's lid or cap. The layer may even be  
5 coated or laminated onto any one of the articles mentioned above.

6 When using an ethylene benzyl acrylate copolymer in the composition of the present  
7 invention, the composition can have sufficient tie-strength to be useful additionally as  
8 a tie-layer in a multi-layer structure. Thus, separate tie layers may not be necessary  
9 for binding the composition of the present invention into a multi-layer film.  
10 Additionally, the composition using ethylene benzyl acrylate copolymer can have  
11 sufficient hot-tack properties that a layer made from the composition of the present  
12 invention will function additionally as the heat-seal layer.

13 In multilayered articles, the scavenging layer comprising the composition of the  
14 present invention may be included with layers such as, but not necessarily limited to,  
15 "oxygen barriers", i.e., layers of material having an oxygen transmission rate equal to  
16 or less than 100 cubic centimeters-mil per square meter (cc-mil/m<sup>2</sup>) per day per  
17 atmosphere pressure at room temperature, i.e., about 25°C. Typical oxygen barriers  
18 comprise poly(ethylene vinyl alcohol), polyacrylonitrile, polyvinyl chloride,  
19 poly(vinylidene dichloride), polyethylene terephthalate, silica, and polyamides. Metal  
20 foil layers can also be employed.

21 The additional layers may also include one or more layers which are permeable to  
22 oxygen. In one preferred embodiment, especially for flexible packaging for food, the  
23 layers include, in order starting from the outside of the package to the innermost layer  
24 of the package, (i) a structural layer to provide mechanical strength and to act as a  
25 moisture barrier (e.g. high-density polyethylene), (ii) an oxygen barrier layer, (iii) a  
26 layer comprising the composition of the present invention, and optionally, (iv) a

-38-

1 functional layer such as EVA. Control of the oxygen barrier property of (ii) allows a  
2 means to regulate the scavenging life of the package by limiting the rate of oxygen  
3 entry to the scavenging component (iii), and thus limiting the rate of consumption of  
4 scavenging capacity. The functional layer in a multi-layered composition is a layer  
5 which is added to perform functions which the adjacent layer cannot perform as well  
6 as the functional layer. The functional layer can provide a barrier to stop or slow  
7 migration of compounds contained within a composition of the present invention into  
8 the package interior. These migrating compounds include additives or by-products of  
9 oxygen scavenging. The functional layer may improve the heat-sealability, clarity  
10 and/or resistance to blocking of the multi-layer film. Control of the oxygen  
11 permeability of the functional layer also allows a means to set an upper limit on the  
12 rate of oxygen scavenging for the overall structure independently of the composition  
13 of the scavenging component (iii). This can serve the purpose of extending the  
14 handling lifetime of films in the presence of air prior to sealing the package.

15 The multilayered articles can be prepared using coextrusion, coating and/or  
16 lamination. In addition to oxygen barrier and oxygen permeable layers, further layers  
17 such as tie-layers which function to bind the other layers into one film and adhesive  
18 layers which make the overall film adhesive to other surfaces may be adjacent to any  
19 of the layers listed above. Compositions suitable for tie-layers or adhesive layers  
20 include those well known in the art, such as maleic anhydride functionalized  
21 polyolefins.

22 To determine the oxygen scavenging capabilities of the invention, the rate of oxygen  
23 scavenging can be calculated by measuring the time elapsed before the article depletes  
24 a certain amount of oxygen from a sealed container. For instance, a film comprising  
25 the scavenging component can be placed in an air-tight, sealed container of a certain  
26 oxygen containing atmosphere, e.g., air which typically contains 20.9% oxygen by



1 volume. Then, over a period of time, samples of the atmosphere inside the container  
2 are removed to determine the percentage of oxygen remaining.

3 When an active oxygen barrier is prepared, the scavenging rate can be as low as  
4 0.1 cc oxygen per gram of composition of the present invention per day in air at  
5 25°C and at 1 atmosphere pressure. However, preferable compositions of this  
6 invention have rates equal to or greater than 1 cc oxygen per gram per day, thus  
7 making them suitable for scavenging oxygen from within a package, as well as  
8 suitable for active oxygen barrier applications. Many compositions are even capable  
9 of more preferable rates equal to or greater than 5.0 cc O<sub>2</sub> per gram per day.

10 Generally, film layers suitable for use as an active oxygen barrier can have a  
11 scavenging rate as low as 1 cc oxygen per square meter per mil per day when  
12 measured in air at 25°C and 1 atmosphere pressure. Preferably, a layer of this  
13 invention is capable of a scavenging rate greater than 10 cc oxygen per square meter  
14 per mil per day, and more preferably has an oxygen scavenging rate equal to or  
15 greater than about 25 cc oxygen per square meter per mil per day under the same  
16 conditions, thus making it suitable for scavenging oxygen from within a package, as  
17 well as suitable for active oxygen barrier applications. The scavenging rates of the  
18 composition and layers of the present invention will change with changing temperature  
19 and atmospheric conditions. The rates at room temperature, ambient humidity, and  
20 one atmosphere pressure were measured because they best represent the conditions to  
21 which the invention will be exposed in many instances.

22 In an active oxygen barrier application, it is preferable that the combination of oxygen  
23 barriers and any oxygen scavenging activity create an overall oxygen transmission rate  
24 of less than about 1.0 cubic centimeter-mil per square meter per day per atmosphere  
25 pressure at 25°C. Another definition of acceptable oxygen scavenging is derived  
26 from testing actual packages. In actual use, the scavenging rate requirement will

-40-

1 largely depend on the internal atmosphere of the package, the contents of the package  
2 and the temperature at which it is stored. In actual use, it has been found that the  
3 scavenging rate of the oxygen scavenging article or package should be sufficient to  
4 establish an internal oxygen level of less than 0.1% in less than about four weeks.

5 In a packaging article made according to this invention, the scavenging rate will  
6 depend primarily on the amount and nature of the composition of the present  
7 invention in the article, and secondarily on the amount and nature of other additives  
8 (e.g., diluent polymer, antioxidant, etc.) which are present in the scavenging  
9 component, as well as the overall manner in which the package is fabricated, e.g.,  
10 surface area/volume ratio.

11 The oxygen scavenging capacity of an article comprising the invention can be  
12 measured by determining the amount of oxygen consumed until the article becomes  
13 ineffective as a scavenger. The scavenging capacity of the package will depend  
14 primarily on the amount and nature of the scavenging moieties present in the article,  
15 as discussed above.

16 In actual use, the oxygen scavenging capacity requirement of the article will largely  
17 depend on three parameters of each application:

- 18 1. the quantity of oxygen initially present in the package,
- 19 2. the rate of oxygen entry into the package in the absence of the  
20 scavenging property, and
- 21 3. the intended shelf life for the package.

22 The scavenging capacity of the composition can be as low as 1 cc oxygen per gram,  
23 but is preferably at least 10 cc oxygen per gram, and more preferably at least 50 cc  
24 oxygen per gram. When such compositions are in a layer, the layer will preferably

-41-

1 have an oxygen capacity of at least 250 cc oxygen per square meter per mil thickness  
2 and more preferably at least 500 cc oxygen per square meter per mil thickness.

3 Other factors may also affect oxygen scavenging and should be considered when  
4 selecting compositions. These factors include but are not limited to temperature,  
5 relative humidity, and the atmospheric environment in the package.

6 As illustrated in the Examples, some embodiments of the invention go through an  
7 "induction period" before they exhibit oxygen scavenging. It has been found that this  
8 induction period can be shortened substantially by exposing the composition to  
9 radiation. To initiate oxygen scavenging in an oxygen scavenger is defined herein as  
10 facilitating scavenging such that the induction period of oxygen scavenging is  
11 significantly reduced or eliminated. The induction period is the period of time before  
12 the scavenging composition exhibits useful scavenging properties. Further, initiation  
13 of oxygen scavenging may also apply to compositions which have an indeterminate  
14 induction period in the absence of radiation.

15 While the exact manner in which oxygen scavenging is initiated is not known, it is  
16 believed, without being held to any specific theory, that one or more of the following  
17 occurs when the oxygen scavenger is exposed to radiation:

- 18 a. substantial depletion of any antioxidant(s), if present, thus allowing  
19 oxidation to proceed;
- 20 b. activation of the transition metal catalyst through a change in the  
21 metal's oxidation state and/or its configuration of ligands, thus  
22 increasing its effect on scavenging; or



-43-

1 benzyl amine. The mixture was heated to 240°C under nitrogen for 5 hours with  
2 continued stirring. The resulting polymer was pulverized under liquid nitrogen and  
3 washed with hexane, then methanol. After drying under vacuum, 107 parts of  
4 polymer A was obtained. Infra-red spectroscopy and nitrogen analysis indicated  
5 quantitative conversion of the methyl ester to the benzyl-amide. 95 parts of nylon-6  
6 from Custom Resin, Inc. were blended with 5 parts of Polymer A and cobalt  
7 neodecanoate in the ratio provided in Example 12 in a Haake System 90 Rheomix  
8 TW-100 conical twin-screw extruder (hereafter "Haake-90") at 210°C. Films were  
9 prepared by the method of Example 27.

#### 10 Example 2

##### 11 Solution Synthesis of Ethylene - 3-Methoxybenzyl-acrylamide Copolymer B

12 One hundred (100) parts by weight of an ethylene - methyl acrylate copolymer (40  
13 wt. % MA) was dissolved in 150 parts of decalin at 180°C. 54 parts of 3-  
14 methoxybenzyl amine was added along with 24 parts of 2-pyridone, and the solution  
15 was refluxed at 184°C for 12 hours. After cooling, the polymer solution was  
16 precipitated in methanol and dried in a vacuum oven to give polymer B. Infra-red  
17 analysis indicated a quantitative conversion of ester to amide. Blends with nylon-6  
18 from Custom Resin, Inc. were prepared by feeding 5 parts of Copolymer B and 95  
19 parts of nylon-6 to a Haake-90 twin-screw extruder at 210°C. Films were prepared  
20 by the method of Example 27.

#### 21 Example 3

##### 22 Solution Synthesis of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer C

23 One hundred (100) parts by weight of an ethylene-methyl acrylate copolymer (20  
24 wt. % MA) was dissolved in 150 parts of decalin, along with 50 parts of benzyl  
25 alcohol and 0.5 part of tetraethyl titanate, a transesterification catalyst. The mixture  
26 was refluxed at 184°C for 3 hours and worked up as described in Example 2. NMR  
27 analysis indicated 88 % conversion of methyl ester to benzyl ester.

### Example 4

### Solution Synthesis of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer D

The procedure of Example 3 was followed except that 0.5 part of di-butyl tin dilaurate was used instead of tetraethyl titanate. Polymer D was isolated with a 72 % conversion of methyl ester to benzyl ester after 7 hours of reaction.

### Example 5

### Solution Synthesis of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer E

The procedure of Example 3 was followed except that 0.5 part of sodium methoxide was used instead of the tetraethyl titanate. Polymer E was isolated with a 64 % conversion of methyl ester to benzyl ester after 10 hours of reaction.

### Example 6

### Solution Synthesis of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer F

The procedure of Example 3 was followed except that 0.5 part of toluene sulfonic acid was used instead of the tetraethyl titanate. Polymer F was isolated with a 43 % conversion of methyl ester to benzyl ester after 15 hours of reaction.

## Reactive Extrusion

Examples 7-11 were produced via reactive extrusion. In these examples, a Werner Pfleiderer ZSK-30 twin-screw extruder was used. Ethylene-methyl acrylate copolymer was fed into the extruder and melted, and the reactant(s) (such as benzyl alcohol) and catalyst(s) were added to the extruder in a subsequent reaction zone. Although the following examples utilized ethylene-methyl acrylate copolymer, the method described herein is not limited to use of only ethylene-methyl acrylate copolymer.

Two vent ports on the extruder produced a higher conversion of methyl ester to benzyl or benzylic ester, and they reduced the flooding which often occurred in a

-45-

1 screw with only one vent port. The first vent port downstream of the point where  
2 reactant(s) and catalyst(s) are added was open to the atmosphere to allow reaction by-  
3 products (in the examples, methanol) to escape. The by-products may also be  
4 removed under slight vacuum. The second vent port, downstream of the first, was  
5 under vacuum to remove any residual reactants (such as benzyl alcohol), which  
6 normally have higher boiling points than the by-products. Additional down-stream  
7 vent ports can be used, if desired.

8 The temperature in the extruder was selected primarily to provide a uniform mixture  
9 of melted polymer, reactant(s) and catalyst(s) without degrading the polymer.  
10 However, the temperature was also selected to produce the greatest difference in  
11 vapor pressure between the by-products and the reactants (where the by-products have  
12 a lower boiling-point than the reactants). Normally, the temperature will be at or  
13 slightly below the boiling point of the reactants.

14 In some of the following examples, ethylene-methyl acrylate copolymer and benzyl  
15 alcohol were reacted at about 205°C, which is the boiling point of benzyl alcohol.  
16 The first vent port pressure was about 760 mm Hg, and the second vent port pressure  
17 was about 25 mm Hg. This method provided a uniform mixture of copolymer,  
18 reactants, and catalyst, and also gave the greatest difference in vapor pressure  
19 between benzyl alcohol and methanol. This procedure provides improved conversions  
20 of methyl ester to benzyl ester over the method where one vent port is used to remove  
21 both the byproduct, methanol, and excess reactant, benzyl alcohol.

## 22 Example 7

### 23 Preparation of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer G by Reactive 24 Extrusion

25 Ethylene - methyl acrylate copolymer (40 wt. % MA, 8 g/10 min. MI) copolymer  
26 was fed into a Werner Pfleiderer ZSK-30 twin screw extruder at a feed rate of 3

-46-

kg/hr with a barrel temperature of about 205-210°C. Benzyl alcohol and tetraethyl titanate were fed into the first mixing zone at rates of 1.5 kg/hr and 15 g/hr, respectively. The resulting polymer was pelletized, and NMR analysis indicated a 29% conversion of methyl ester to benzyl ester with no detectable amount of free benzyl alcohol. The melt index of the resulting Terpolymer G was 7.75 g/10 min at 190°C, and its composition was 54 wt. % ethylene, 26 wt. % methyl acrylate, and 20 wt. % benzyl acrylate. The polymer composition was calculated based on NMR analysis.

#### Example 8

##### Preparation of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer H by Reactive Extrusion

The procedure of Example 7 was followed, except ethylene-methyl acrylate copolymer having 24 wt. % MA and 2 g/10 min. MI was used, while the benzyl alcohol and titanium catalyst feed rates were 1.8 kg/hr and 18 g/hr, respectively. The product, polymer H, had a MI of 2.19, with a 51 % conversion of methyl ester to benzyl ester based on NMR analysis. The weight ratio of ethylene/methyl acrylate/benzyl acrylate of Polymer H was 69/10/21.

#### Example 9

##### Preparation of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer H-Me. Having a Partial 3-methyl Substitution on the Phenyl Ring

The procedure of Example 8 was followed to make Polymer H-Me, except that a solution of 99 wt. % benzyl alcohol and 1 wt. % of 3-methylbenzyl alcohol was used in place of the benzyl alcohol of Example 8. 48 % of the methyl ester radicals were converted to benzyl ester radicals or 3-methylbenzyl ester radicals, based on NMR analysis. The weight ratios of ethylene/methyl acrylate/benzyl acrylate were 69/11/20.



### Example 10

### Preparation of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer H-OMe.

### Having a Partial 3-methoxy Substitution on the Phenyl Ring

The procedure of Example 9 was followed to make Polymer H-OMe, except 3-methoxybenzyl alcohol was substituted in place of the 3-methylbenzyl alcohol of Example 9. 45 % of the methyl ester radicals were converted to benzyl ester radicals or 3-methoxybenzyl ester radicals. The weight ratios of ethylene/methyl acrylate/benzyl acrylate were 69/12/19 for Polymer H-OMe.

### Example 11

### Preparation of Ethylene-Methyl Acrylate-Benzyl Acrylate Terpolymer I by Reactive

## Extrusion

The procedure of Example 7 was followed except that a 20 % MA ethylene-methyl acrylate copolymer and a MI of 6 g/10 min. was used as the feed polymer. The product, polymer I, had a melt index of 6.25 g/10 min, with a 39 % conversion of methyl ester radicals to benzyl ester radicals, based on NMR analysis. The weight ratio of ethylene/methyl acrylate/benzyl acrylate was 75/11/14.

### Example 12

### Blending of Cobalt Salt with Polymer A

1000 parts of polymer A pellets were tumble mixed with 8.3 parts of cobalt neodecanoate (which contains 1 part cobalt) in 20 parts of hexane. The hexane was removed by vacuum, and the cobalt-coated resins were extruded into pellet form, then into films by the method of Example 27.

### Example 13

### Blending of Cobalt Salt with Polymer B

**The method of Example 12 was repeated, substituting Polymer B for Polymer A.**

### Example 14

### Blending of Cobalt Salt with Polymer C

The method of Example 12 was repeated, substituting Polymer C for Polymer A.

The film made from this polymer had the following properties: tensile strength 1243 psi; elongation 726%; and melting point 86°C.

Analyses for tensile strength, elongation, Young's modulus, and 1 % secant were performed by ASTM method no. D-882.

### Example 15

### Blending of Cobalt Salt with Polymer D

The method of Example 12 was repeated, substituting Polymer D for Polymer A.

### Example 16

### Blending of Cobalt Salt with Polymer E

The method of Example 12 was repeated, substituting Polymer E for Polymer A.

### Example 17

### Blending of Cobalt Salt with Polymer F

The method of Example 12 was repeated, substituting Polymer F for Polymer A.

### Example 18

### Blending of Cobalt Salt with Polymer G

The method of Example 12 was repeated, substituting Polymer G for Polymer A.

### Example 19

### Blending of Cobalt Salt with Polymer H to Form Polymer H-1000

The method of Example 12 was repeated, substituting Polymer H for Polymer A.

### Example 20

### Blending of Cobalt Salt with Polymer H to Form Polymer H-250

The method of Example 19 was repeated, using 2.1 parts of cobalt neodecanoate (which contains 0.25 part cobalt) in 5 parts of hexane in place of the 8.3 parts of cobalt neodecanoate in 20 parts of hexane.

### Example 21

### Blending of Cobalt Salt with Polymer H to Form Polymer H-500

The method of Example 19 was repeated, using 4.2 parts of cobalt neodecanoate (which contains 0.50 part cobalt) in 10 parts of hexane in place of the 8.3 parts of cobalt neodecanoate in 20 parts of hexane.

### Example 22

### Blending of Cobalt Salt with Polymer H to Form Polymer H-2000

The method of Example 19 was repeated, using 16.6 parts of cobalt neodecanoate (which contains 2.0 parts cobalt) in 40 parts of hexane in place of the 8.3 parts of cobalt neodecanoate in 20 parts of hexane.

-50-

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23

#### Example 23

##### Blending of Cobalt Salt with Polymer H-Me

The method of Example 12 was repeated, substituting Polymer H-Me for Polymer A.

#### Example 24

##### Blending of Cobalt Salt with Polymer H-OMe

The method of Example 12 was repeated, substituting Polymer H-OMe for Polymer A.

#### Example 25

##### Blending of Cobalt Salt with Polymer I

The method of Example 12 was repeated, substituting Polymer I for Polymer A.

#### Example 26

##### Melt Blending of Cobalt Salt to Copolymers

Polymers A to I are individually melt processed at about 180°C in a ZSK-30 twin screw extruder. The polymer feed rate is maintained at 10 kg/hr while cobalt neodecanoate is metered into the first mixing zone at a rate of 83 g/hr. The products which contain about 1000 ppm Co are pelletized and are stored for later film processing.

#### Example 27

##### Polymer Film Preparation with Randcastle Extruder

A Randcastle Microtruder was used to extrude mono-layer films of about 5 mil thickness of polymers with cobalt. The die temperature, feed block, and adapter were set at 420°F, and the feeder RPM was set at 143. All films were soft and flexible and were observed to have good clarity.

### Example 28

### UV Initiation of Oxygen Scavenging

Some films were irradiated prior to performing oxygen scavenging studies. These films were exposed to UV radiation under a 15 watt UV lamp (a Blak-Ray lamp, model XX-15S, made by UVP Inc.) for 5 minutes at a distance of 5 inches.

The effect of UV irradiation is clearly seen for Polymer H-1000 of Example 19, for example. The irradiated film scavenged oxygen much more rapidly.

### Example 29

### Oxygen Scavenging without UV Irradiation

2 grams of film of each polymer from Examples 19, 23, and 24 were sealed in separate 1-liter capacity bottles, and oxygen depletion was monitored using a Mocon 710 oxygen analyzer.

The results shown in Figure 1 show that these samples scavenge oxygen without UV irradiation at different rates, depending on the group substituted onto the phenyl ring.

### Example 30

### Oxygen Scavenging Rate and Capacity Studies

Rate and capacity of oxygen removal at 25°C and at 55°C were measured by placing polymer film samples in sealed bottles which had 20.9% or 2% oxygen, as specified, and monitoring the oxygen depletion by gas chromatography and/or by Mocon 710 oxygen analyzer.

About 5 grams of polymer film were used for 22 cc and 250 cc capacity bottles.

About 2 grams of polymer film were used for 1 liter capacity bottles. Oxygen

depletion was monitored by gas chromatography (GC) or with a Mocon 710 oxygen

-52-

- 1 analyzer. The following Table 1 exemplifies the oxygen scavenging activities
- 2 recorded for 22 cc, 250 cc and 1 liter bottles.

11.

13

11.1

-53-

-53-

TABLE 1											
		COMPOSITION OF EXAMPLE # <sup>1</sup>	BOTTLE SIZE (cc) <sup>2</sup>	UV IRRAD- IATED	4 hr. <sup>4</sup>	1 day <sup>4</sup>	2 days <sup>4</sup>	4 days <sup>4</sup>	7 days <sup>4</sup>	14 days <sup>4</sup>	21 days <sup>4</sup>
5	12	22	22	no				20.9	20.9		
6	12 <sup>3</sup>	22	22	no			17.7		6.4		
7	13	22	22	no			14.8		14.3		
8	14	22	22	no	20.8	20.7			20.6	15.0	1.68
9	18	22	22	yes	20.8	20.2	13.1		4.0		
10	19	1000	1000	no		20.4	20.3	19.8	19.6		
11	19	1000	1000	yes	20.6	19.5	15.1	8.9	6.1		
12	20	1000	1000	no		20.3	20.2	19.9	19.8		
13	20	1000	1000	yes	20.4	20.0	19.9	19.5	18.5		
14	21	1000	1000	no		20.4	20.0	18.5	11.3		
15	21	1000	1000	yes	20.5	20.0	19.5	16.1	9.6		
16	22	1000	1000	no		20.3	20.2	19.7	19.3		
17	22	1000	1000	yes	20.5	19.7	14.9	7.8	4.4		
18	23	250	250	no	20.7	20.7	20.4		17.6	10.3	4.0
19	23	250	250	yes	20.2	12.0	0.2				

-54-

-54-

1	24	250	no	20.2	20.7	20.5	0.3
2	24	250	yes	20.2	7.7	0.0	
3	25	250	no		18.6	0.5	
4	34	1000	no	20.7	20.4	20.1	20.0 19.6
5	34	1000	yes	20.7	20.4	20.2	20.0 19.7
6	38	1000	yes	20.9	20.8	20.1	20.7 20.7

## NOTES:

1. Oxygen-scavenging temperature was 25°C, except where noted otherwise.

2. All bottles in these experiments had 20.9 % oxygen when the samples were placed in the bottles.

3. Oxygen-scavenging temperature for this experiment was 55°C.

4. Times listed are lengths of time after the samples were placed in bottles to scavenge oxygen.

Numbers in these columns are percent oxygen remaining in the bottles.



-55-

1

**Example 31**

2

**Oxygen Scavenging Capacity Studies**

3 The total oxygen absorption capacity per gram of film of the polymer of Example 19  
4 (Polymer H-1000) was measured and is shown in Figure 2. Also, the capacity of  
5 polymer H-1000 to scavenge oxygen within the first few days at reduced oxygen  
6 concentration (i.e. starting at 2 % O<sub>2</sub>) was measured and compared with a commercial  
7 oxygen scavenger, Ageless, available from Mitsubishi Gas Chemical Co. (see Figure  
8 3). These conditions simulate a method of purging oxygen with nitrogen gas during  
9 packaging of food. Figure 3 shows that polymer H-1000 was superior to Ageless in  
10 scavenging oxygen at low concentrations, since polymer H-1000 scavenged all but  
11 0.02 % of the oxygen from the container head-space while Ageless left 0.12 % of the  
12 oxygen.

13

**Example 32**

14

**Effect of Cobalt Level on Oxygen Scavenging Rates and Capacities**

15 The cobalt content of compositions using Polymer H was varied to determine its  
16 effect on the oxygen scavenging rate. Oxygen scavenging rates and capacities were  
17 measured for UV-irradiated films of polymers from Examples 19 through 22, and the  
18 results are shown in Figure 4.

19

**Example 33**

20

**Improved Barrier Properties**

21 Polymer of Example 25 was co-extruded with a commercial oxygen barrier ethylene  
22 vinyl alcohol (EVOH) (available from Eval Co. of America, grade Eval F-104) and a  
23 Bynel 388 tie layer (available from DuPont), using a Randcastle Minitruder. The  
24 resulting 3-layer structures showed a four-fold reduction in oxygen transmission rate  
25 over EVOH alone. Similar results were observed for 3-layer structures made with  
26 polymer H-1000 of Example 19. See Figure 5.

-56-

## 1 Comparative Example 34

2 Oxygen Scavenging Performance of Ethylene - Methyl Acrylate Copolymer with  
3 Cobalt

4 Ethylene - methyl acrylate copolymer (EMAC<sup>®</sup> copolymer grade SP-2260, made by  
5 Chevron) having 24 wt. % MA and a MI of 2 g/10 min. was blended with 1000 ppm  
6 cobalt as described in Example 12. The resin containing cobalt salt was extruded into  
7 a film as described in Example 27. The films were tested with and without the UV  
8 radiation as described in Example 28. Little oxygen scavenging was found in either  
9 case.

## 10 Example 35

11 Analysis of Products of Oxidation

12 After Polymer H-1000 was oxidized for six days using a 5 g. sample in a bottle  
13 having a capacity of 1000 cc, it was extracted with methanol, concentrated, and  
14 analyzed by gas chromatography and gas chromatography coupled with mass  
15 spectroscopy. Over 95 % of the oxidation product was benzoic acid.

16 Physical properties of Polymer H-1000 were analyzed before and after scavenging  
17 40.6 cc of oxygen per gram of Polymer H-1000 over a 6-day period. These results  
18 are summarized in Table 2.

-57-

		Table 2	
		BEFORE OXIDATION	AFTER OXIDATION
4	Tensile strength (psi)	1769	712
5	% elongation	707	493
6	Young's modulus (psi)	2768	2947
7	1 % secant (psi)	2320	2463

#### 8 Example 36

##### 9 Synthesis of Benzylimide-containing Terpolymer from Ethylene-Butylacrylate-Maleic 10 Anhydride Terpolymer

11 100 parts of Lotador 4700, available from Elf Atochem, containing 3% maleic  
12 anhydride, and 10 parts of 3-methoxy benzylamine are kneaded at 150°C in 50 parts  
13 of decalin for 3 hours, followed by 2 hours at 185°C under vacuum to dehydrate the  
14 acid-amide. Product formation can be monitored by the conversion of the anhydride  
15 band to the imide band with infrared spectrometry. Precipitation of the polymer  
16 solution in methanol is followed by filtration and vacuum drying overnight to produce  
17 a polymer product in which there is partial conversion of the anhydride to imide.  
18 1000 ppm cobalt is incorporated in this polymer, as discussed above. A thin film of  
19 this polymer is extruded with the Randcastle Microtruder.

#### 20 Example 37

##### 21 Synthesis of Ethylene - 3-methylphenyl acrylate

22 100 parts of EMAC® copolymer SP-2260 (available from Chevron Chemical Co.), 16  
23 parts of meta-methylphenol, and 0.5 part of tetraethyl titanate were refluxed in decalin  
24 at 180°C for 6 hours. The polymer product was precipitated in methanol to give  
25 polymer with 36 % of the methyl ester radicals converted to 3-methylphenyl ester  
26 radicals. Cobalt neodecanoate was added by the method of Example 12, where the

-58-

1 ethylene - 3-methylphenyl acrylate replaced polymer A, and a film was made by the  
2 method of Example 27. This composition scavenged oxygen slowly.

3 Comparative Example 38

4 Polystyrene as an Oxygen Scavenger

5 A solution of approximately 20 wt. % cobalt neodecanoate in hexane was dispersed at  
6 room temperature over pellets of Chevron Grade EA3000 polystyrene (not rubber  
7 modified) in a quantity sufficient to provide about 1000 ppm by weight of cobalt in  
8 the final composition. The solvent was stripped off by use of a rotary vacuum  
9 evaporator. A film was made by the method of Example 27. The oxygen scavenging  
10 performance was determined by the method of Example 30 and is summarized in  
11 Table 1. This example shows that a benzyl radical pendant to the ethylenic backbone  
12 is much more effective in scavenging oxygen than an aryl radical such as phenyl,  
13 despite both compositions having tertiary hydrogen atoms present in the polymer  
14 backbone.

15 Example 39

16 Poly(Methyl Methacrylate-Benzyl Methacrylate)

17 350 grams (3.5 mole) of polymethylmethacrylate (Plexiglass VO 44 from Rohm &  
18 Haas), 378 grams of benzyl alcohol (3.5 mole) and 0.54 gram (0.1 mole %) of an  
19 antioxidant Irganox 1076 were heated to 180°C to dissolve them in 550 cc of decalin.  
20 13.86 grams of tetraisopropyl titanate was added and the temperature was maintained  
21 at 180-190°C for 14 hours, and during this time 23 ml of distillate containing  
22 methanol was collected and the reaction was stopped. The polymer was precipitated  
23 in methanol then washed with hexane. After drying at 55°C overnight in a vacuum  
24 oven, 404 gram of polymer were recovered with a DSC melting point of 93-94°C.  
25 NMR analysis showed a 22.6 % conversion of methyl ester to benzyl ester.

1 This polymer was blended with cobalt neodecanoate by the method of example 12,  
2 and film was prepared as in example 27. The film was UV-irradiated as discussed  
3 above. This film scavenged about 10 cc of oxygen/gram of polymer after about 25  
4 days at 25°C.

5 Example 40

6 Synthesis of poly(ethylene-vinyl acetate-phenyl acetate)

7 3 kg/hr. of ethylene-vinyl acetate copolymer (33% vinyl acetate) and 0.5 wt. %  
8 Irganox 1076 are fed to the reactive extruder, which has a barrel temperature of  
9 225°C. 0.5 kg/hr. of a solution containing 80% phenyl acetic acid and 0.2 wt.%  
10 toluene sulfonic acid in xylene is fed to the first mixing zone. The resulting polymer  
11 is pelletized, dissolved, precipitated in methanol, and dried under vacuum. This  
12 polymer is compounded with transition metal salt as described in Example 12.

13 Example 41

14 Synthesis of dibenzyl 1,10-decanecarboxylate

15 230 grams of 1,10-decanedicarboxylic acid, 238 grams of benzyl alcohol and 0.5  
16 gram of toluene sulfonic acid were dissolved in 200 ml of toluene and brought to  
17 105°C with stirring. The mixture was kept at this temperature for 10 hours, and 2  
18 moles of water were slowly distilled off. Extra benzyl alcohol and toluene were  
19 removed by vacuum. Yield was 454 grams. The structure was confirmed by NMR.

-60-

1       WHAT IS CLAIMED IS:

- 2       1.     A composition comprising a transition-metal salt and a component which has  
3             an ethylenic backbone and a pendant and/or terminal moiety comprising a  
4             benzyl radical, wherein the composition is effective to scavenge at least 1 cc of  
5             oxygen per gram of said composition.
- 6       2.     The composition of Claim 1 further comprising a heteroatom-containing  
7             radical directly bonded to said benzyl radical.
- 8       3.     The composition of Claim 2 wherein said benzyl radicals comprise benzyl  
9             radicals substituted with at least one radical selected from the group consisting  
10            of hydrogen, alkyl radicals containing from 1 to 18 carbon atoms, alkoxy  
11            radicals having from 1 to 16 carbon atoms, amine radicals having from 1 to 6  
12            carbon atoms, ester and amide radicals of acids having from 1 to 16 carbon  
13            atoms, aryl radicals or substituted aryl radicals having 6 to 24 carbon atoms,  
14            and aryl ether radicals or substituted aryl ether radicals having from 6 to 24  
15            carbon atoms.
- 16      4.     The composition of Claim 3 wherein said benzyl radicals comprise benzyl  
17             radicals having the phenyl substituted with at least one radical selected from  
18             the group consisting of hydrogen, alkyl radicals containing from 1 to 6 carbon  
19             atoms, alkoxy radicals having from 1 to 6 carbon atoms, amine radicals having  
20             from 1 to 6 carbon atoms, ester and amide radicals of acids having from 1 to 6  
21             carbon atoms, aryl radicals or substituted aryl radicals having 6 to 15 carbon  
22             atoms, and aryl ether radicals or substituted aryl ether radicals having from 6  
23             to 15 carbon atoms.

- 1 5. The composition of Claim 2 wherein the heteroatom-containing radical is  
2 selected from the group consisting of ester, amide, and imide radicals.
- 3 6. The composition of Claim 5 wherein the ester, amide, and imide radicals are  
4 directly bonded to the ethylenic backbone.
- 5 7. The composition of Claim 6 wherein the ester radical is directly bonded to the  
6 ethylenic backbone through the carbon atom of the ester radical.
- 7 8. The composition of Claim 6 wherein the amide radical is directly bonded to  
8 the ethylenic backbone through the carbon atom of the amide radical.
- 9 9. The composition of Claim 6 wherein the heteroatom-containing radical is  
10 selected from the group consisting of ester and amide radicals.
- 11 10. The composition of Claim 9 wherein said component comprises the dibenzyl  
12 ester of 1,10-decanedicarboxylic acid.
- 13 11. The composition of Claim 9 wherein said component comprises a polymer  
14 having an ethylenic backbone and having between about 1 and about 17.9 mole  
15 percent benzyl ester, 3-methoxybenzyl ester, 3-methylbenzyl ester, and/or  
16 N-benzyl amide radicals directly bonded to the ethylenic backbone.
- 17 12. The composition of Claim 11 wherein the composition contains between 20  
18 and 200 moles of benzyl radicals per mole of transition-metal element.
- 19 13. The composition of Claim 12 wherein the transition-metal salt comprises  
20 cobalt neodecanoate and/or cobalt benzoate.

-62-

- 1        14.    The composition of Claim 11 wherein the polymer contains sodium, zinc,  
2                   potassium, or ammonium counter-ions.
- 3        15.    The composition of Claim 11 wherein said polymer further comprises said  
4                   ethylenic backbone and pendant carboxy radicals.
- 5        16.    The composition of Claim 11 wherein said polymer further comprises said  
6                   ethylenic backbone and pendant alkyl ester radicals.
- 7        17.    The composition of Claim 16 wherein the pendant alkyl ester radicals comprise  
8                   methyl ester radicals.
- 9        18.    The composition of Claim 17 wherein the composition contains between about  
10                  0.3 and about 17.2 mole percent methyl ester radicals.
- 11       19.    The composition of Claim 17 wherein the composition contains between about  
12                  0.3 and about 8.9 mole percent methyl ester radicals.
- 13       20.    The composition of Claim 2 wherein the composition contains between 10 and  
14                  2000 moles of benzyl radicals per mole of transition-metal element.
- 15       21.    The composition of Claim 2 wherein the composition contains between 20 and  
16                  200 moles of benzyl radicals per mole of transition-metal element.
- 17       22.    The composition of Claim 21 wherein the transition-metal salt comprises a  
18                  cobalt salt.
- 19       23.    The composition of Claim 21 wherein the transition-metal salt comprises  
20                  cobalt neodecanoate and/or cobalt benzoate.



-63-

- 1        24.    The composition of Claim 1 wherein the transition-metal salt and the benzylic  
2                radical are both present in an amount which is effective to scavenge oxygen.
- 3        25.    The composition of Claim 24 wherein the induction period of the composition  
4                to scavenge oxygen can be reduced by exposure to ultraviolet radiation.
- 5        26.    The composition of Claim 25 wherein the composition contains no photo-  
6                initiators.
- 7        27.    A polymer composition prepared by reacting an ethylene alkyl acrylate  
8                copolymer with a benzylic amine.
- 9        28.    A polymer composition prepared by reacting an ethylene alkyl acrylate  
10               copolymer with a benzylic alcohol, said composition having both benzyl ester  
11               and methyl ester radicals.
- 12       29.    The composition of Claim 28 having more than 5 mole percent benzyl ester  
13               radicals.
- 14       30.    The composition of Claim 28 wherein the ethylene alkyl acrylate copolymer  
15               has a melt-point temperature at least about 6 deg F greater than a reference  
16               ethylene-alkyl acrylate copolymer, where the reference copolymer is made in a  
17               multi-zone autoclave reactor and the ratio of alkyl acrylate to ethylene in a  
18               reaction zone when making the reference copolymer is about equal to the  
19               overall ethylene to alkyl acrylate ratio fed to the multi-zone autoclave reactor.
- 20       31.    A film comprising the composition of Claim 1.
- 21       32.    A layer in a film or article comprising the composition of Claim 1.

-64-

- 1        33.    A multi-layer composition comprising:  
2                    A)    a first layer comprising an oxygen barrier layer; and  
3                    B)    a second layer comprising the composition of Claim 24.
- 4        34.    The composition of Claim 33 further comprising a third layer comprising a  
5                    functional layer.
- 6        35.    The composition of Claim 33 further comprising a third layer comprising a  
7                    structural layer.
- 8        36.    The composition of Claim 35 further comprising a fourth layer comprising a  
9                    functional layer.
- 10       37.    A rigid thick-walled composition comprising the composition of Claim 1.
- 11       38.    A process comprising:  
12                    A.    forming a melt of a polymer having a polyethylenic backbone and  
13                    pendant ester moieties; and  
14                    B.    contacting the melt with a transesterifying compound in a reactive  
15                    extruder under transesterification conditions, where the polymer  
16                    undergoes transesterification but not alcoholysis, and the polymer after  
17                    transesterification has a polyethylenic backbone and pendant ester  
18                    moieties.
- 19       39.    The process of claim 38 further comprising contacting the melt with a  
20                    transesterification catalyst in the reactive extruder.
- 21       40.    The process of claim 38 wherein the reaction occurs essentially at atmospheric  
22                    pressure.

-65-

- 1      41.    The process of claim 38 further comprising adding an amount of transition  
2           metal salt that is effective to promote oxygen scavenging in the transesterified  
3           polymer.
- 4      42.    The process of claim 41 wherein the transition metal salt comprises a cobalt  
5           metal salt.
- 6      43.    The process of claim 41 further comprising irradiating the transesterified  
7           polymer with actinic radiation.
- 8      44.    The process of claim 38 wherein the polymer comprises ethylene alkyl acrylate  
9           copolymer or ethylene alkyl acrylate copolymer grafted with maleic anhydride.
- 10     45.    The process of claim 44 wherein the polymer comprises ethylene methyl  
11           acrylate copolymer.
- 12     46.    The process of claim 44 wherein the transesterifying compound comprises  
13           benzyl alcohol.
- 14     47.    The process of claim 45 wherein the transesterifying compound comprises  
15           benzyl alcohol.
- 16     48.    The process of claim 47 further comprising adding an amount of transition  
17           metal salt that is effective to promote oxygen scavenging in the transesterified  
18           polymer.
- 19     49.    The process of claim 48 further comprising irradiating the transesterified  
20           polymer with actinic radiation.

-66-

- 1        50.    The process of claim 44 wherein the transesterifying compound comprises  
2                    3-methylbenzyl alcohol.
- 3        51.    The process of claim 44 wherein the transesterifying compound comprises  
4                    3-methoxybenzyl alcohol.
- 5        52.    The process of claim 38 wherein the polymer comprises an ethylene vinyl  
6                    acetate copolymer.
- 7        53.    The process of claim 52 wherein the transesterifying compound comprises  
8                    phenyl acetic acid.

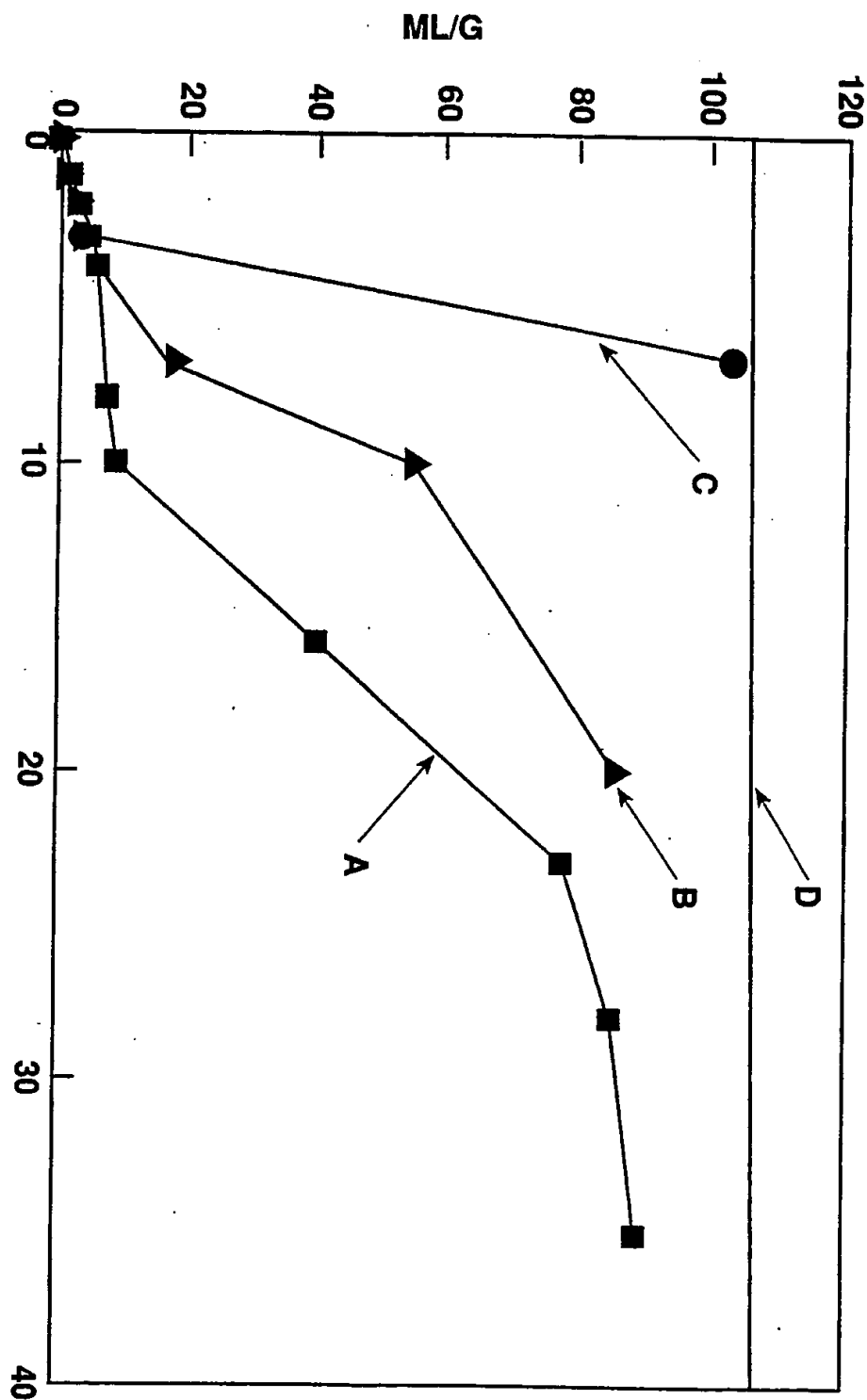


FIGURE 1

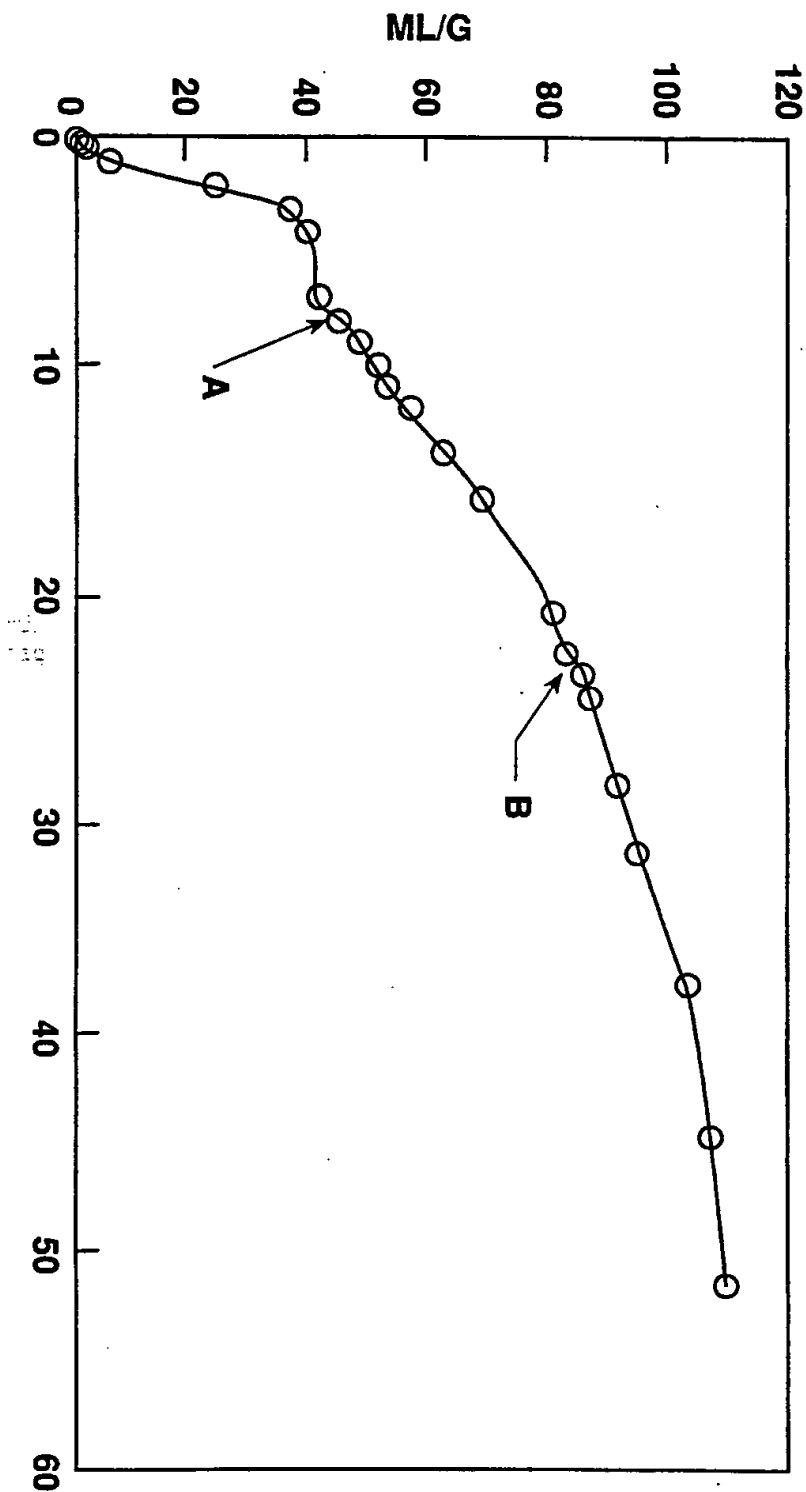


FIGURE 2

FIGURE 3

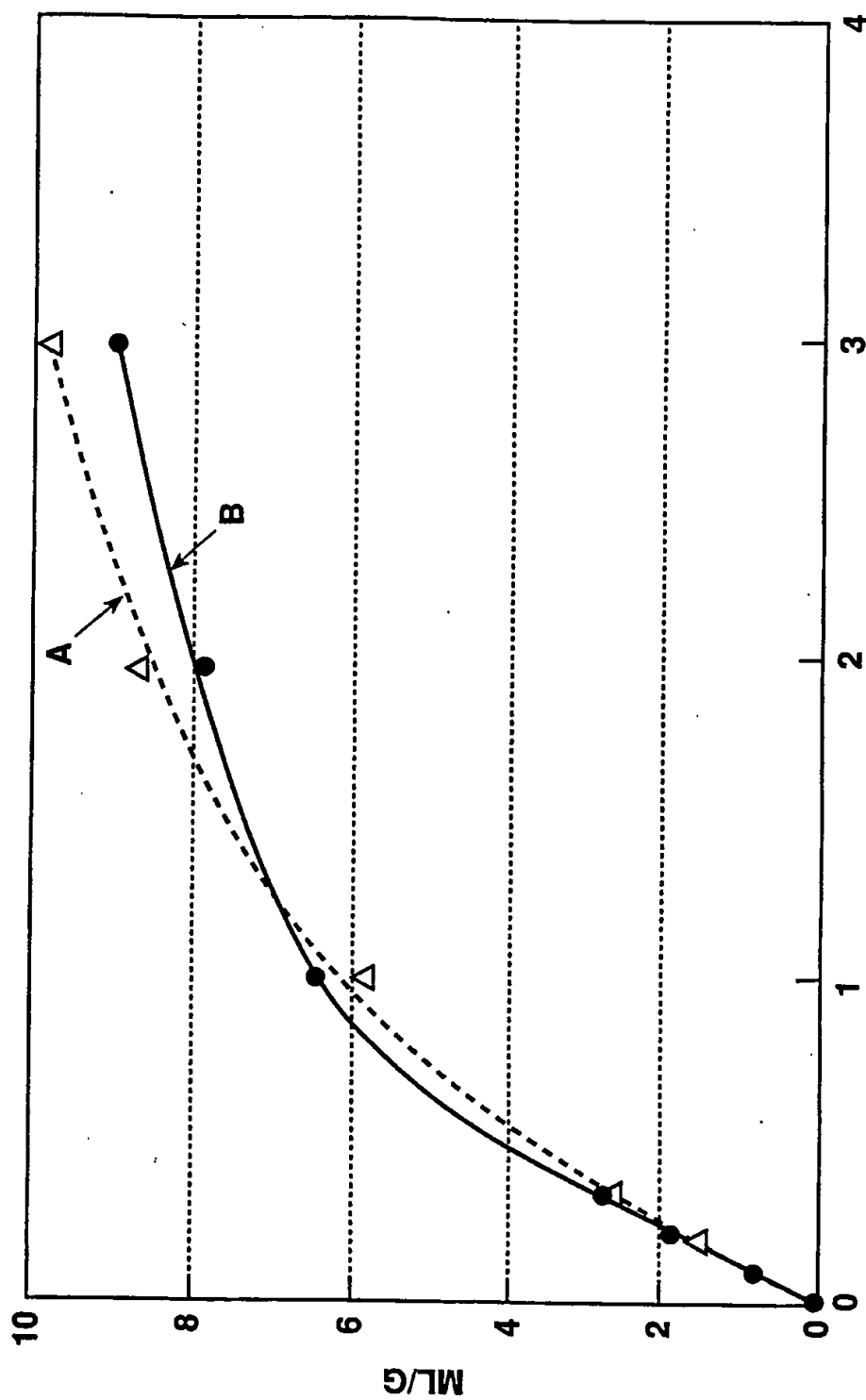


FIGURE 4

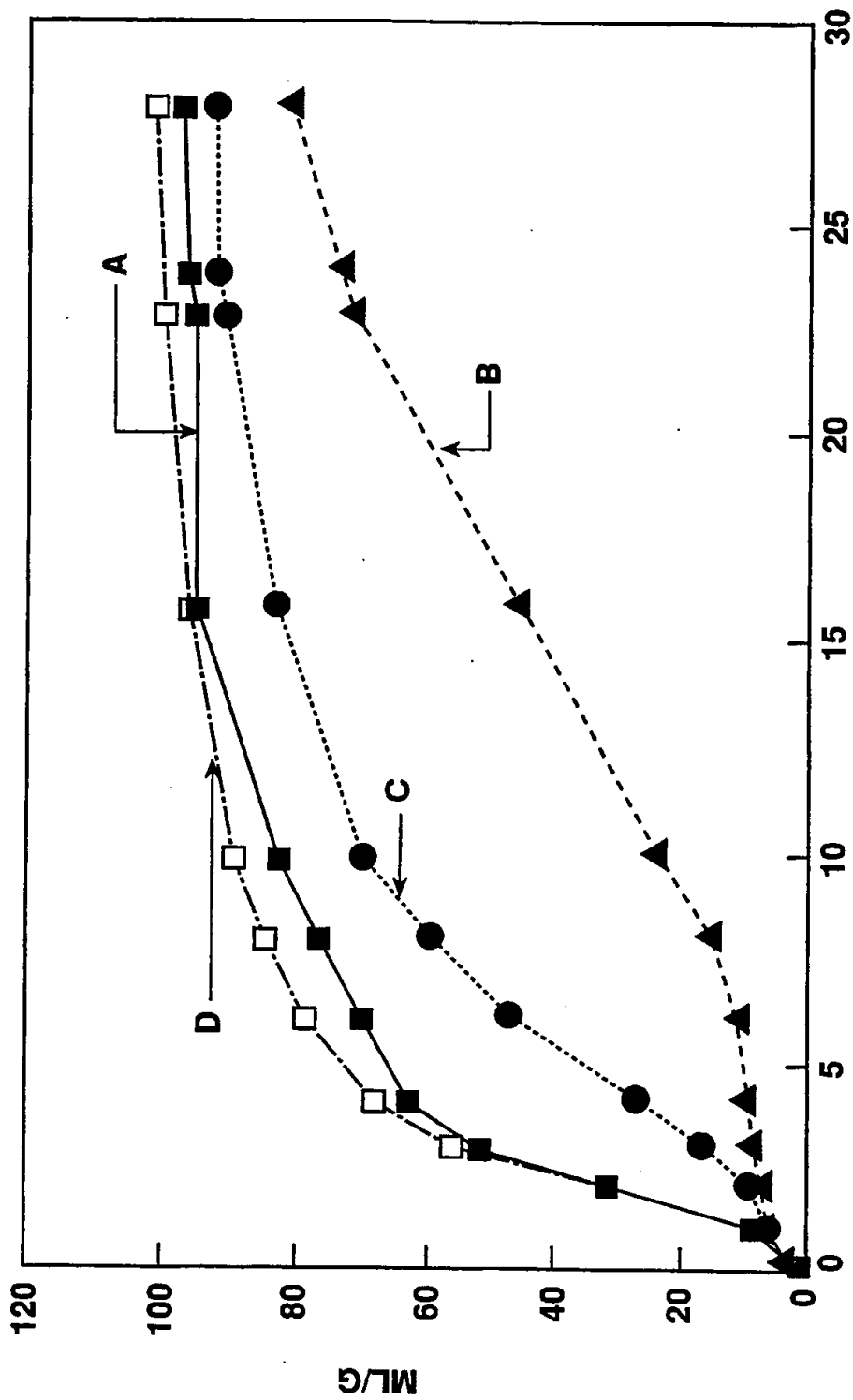
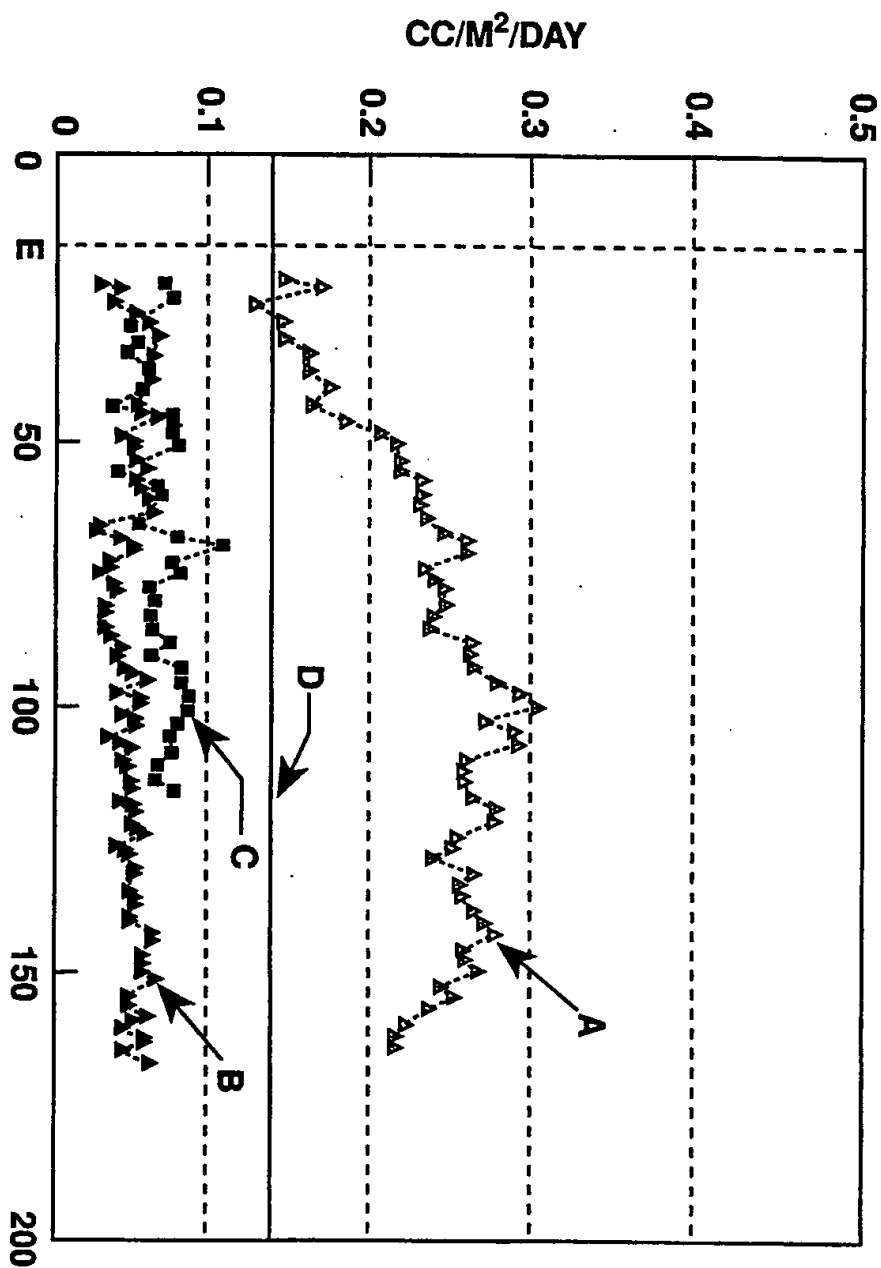
SUBSTITUTE SHEET  
ISA/EP



FIGURE 5



# INTERNATIONAL SEARCH REPORT

Inter. nal Application No

PCT/US 94/07854

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 C08F8/00 C08F8/14 B65D65/38 C08K5/09

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 6 C08F C08K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP,A,0 301 719 (MB GROUP) 1 February 1989 see claims 1-38 ---	1-53
Y	EP,A,0 519 616 (CHEVRON RESEARCH AND TECHNOLOGY COMPANY) 23 December 1992 see page 8, line 7 - line 55; claims 1-15 ---	1-53
Y	EP,A,0 542 512 (MITSUBISHI GAS CHEMICAL COMPANY, INC.) 19 May 1993 see page 2, line 51 - page 3, line 47 see page 4, line 10 - page 5, line 3; claims 1-14 ---	1-53
A	WO,A,91 17044 (ZAPATA INDUSTRIES, INC.) 14 November 1991 see claims 1-69 --- -/--	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "A" document member of the same patent family

Date of the actual completion of the international search

16 December 1994

Date of mailing of the international search report

17. 01. 95

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Permentier, W

## INTERNATIONAL SEARCH REPORT

Inter nal Application No

PCT/US 94/07854

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP,A,0 090 100 (C. FOUGNOT) 5 October 1983 see claims 1-20 ---	1
A	US,A,3 423 382 (S. CHIBNIK) 21 January 1969 see claims 1-16 ---	1
A	PATENT ABSTRACTS OF JAPAN vol. 12, no. 191 (C-501) (3038) 3 June 1988 & JP,A,62 297 301 (TOKYO FINE CHEM K.K.) 24 December 1987 see abstract -----	1

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 94/07854

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0301719	01-02-89	AU-B- 611181	06-06-91
		AU-A- 1987888	01-03-89
		WO-A- 8901012	09-02-89
		GB-A- 2207439	01-02-89
		JP-T- 2500846	22-03-90
		US-A- 5021515	04-06-91
		AU-B- 618341	19-12-91
		AU-A- 3344889	05-10-89
		EP-A- 0335520	04-10-89
		WO-A- 8908557	21-09-89
		GB-A, B 2216462	11-10-89
		JP-T- 3503153	18-07-91
		US-A- 5049624	17-09-91
EP-A-0519616	23-12-92	JP-A- 5209097	20-08-93
EP-A-0542512	19-05-93	JP-A- 5140555	08-06-93
		AU-A- 2822892	20-05-93
WO-A-9117044	14-11-91	US-A- 5202052	13-04-93
		AU-B- 651349	21-07-94
		EP-A- 0527207	17-02-93
		JP-T- 6503367	14-04-94
		WO-A- 9409084	28-04-94
EP-A-0090100	05-10-83	FR-A- 2461724	06-02-81
		AT-T- 10748	15-12-84
		CA-A- 1188298	04-06-85
		EP-A, B 0023854	11-02-81
		JP-B- 1040630	30-08-89
		JP-C- 1558542	16-05-90
		JP-A- 56018877	23-02-81
		US-A- 4755379	05-07-88
US-A-3423382	21-01-69	NONE	